



University
of Glasgow

A $3/2$ -approximation algorithm for the student-project allocation problem with ties

Frances Cooper

Joint work with: Dr David Manlove

Outline

Outline

- Matching problems

Outline

- Matching problems
- Maximum sized stable matching

Outline

- Matching problems
- Maximum sized stable matching
 - Integer programming

Outline

- Matching problems
- Maximum sized stable matching
 - Integer programming
 - Approximation algorithm

Outline

- Matching problems
- Maximum sized stable matching
 - Integer programming
 - Approximation algorithm
- Future work

Matching Problems



Matching Problems

- Assign one set of entities to another set of entities



Matching Problems

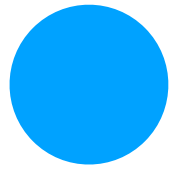
- Assign one set of entities to another set of entities
- Based on preferences and capacities



Student-project allocation problem (SPA-ST)

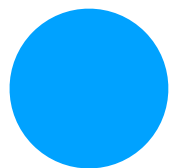
Student-project allocation problem (SPA-ST)

Students

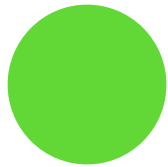


Student-project allocation problem (SPA-ST)

Students



Projects

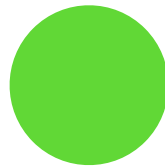


Student-project allocation problem (SPA-ST)

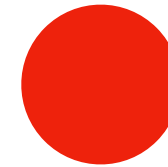
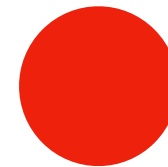
Students



Projects



Lecturers



Student-project allocation problem (SPA-ST)

Students

Projects

Lecturers

s1

s2

s3

s4

p1

p2

p3

l1

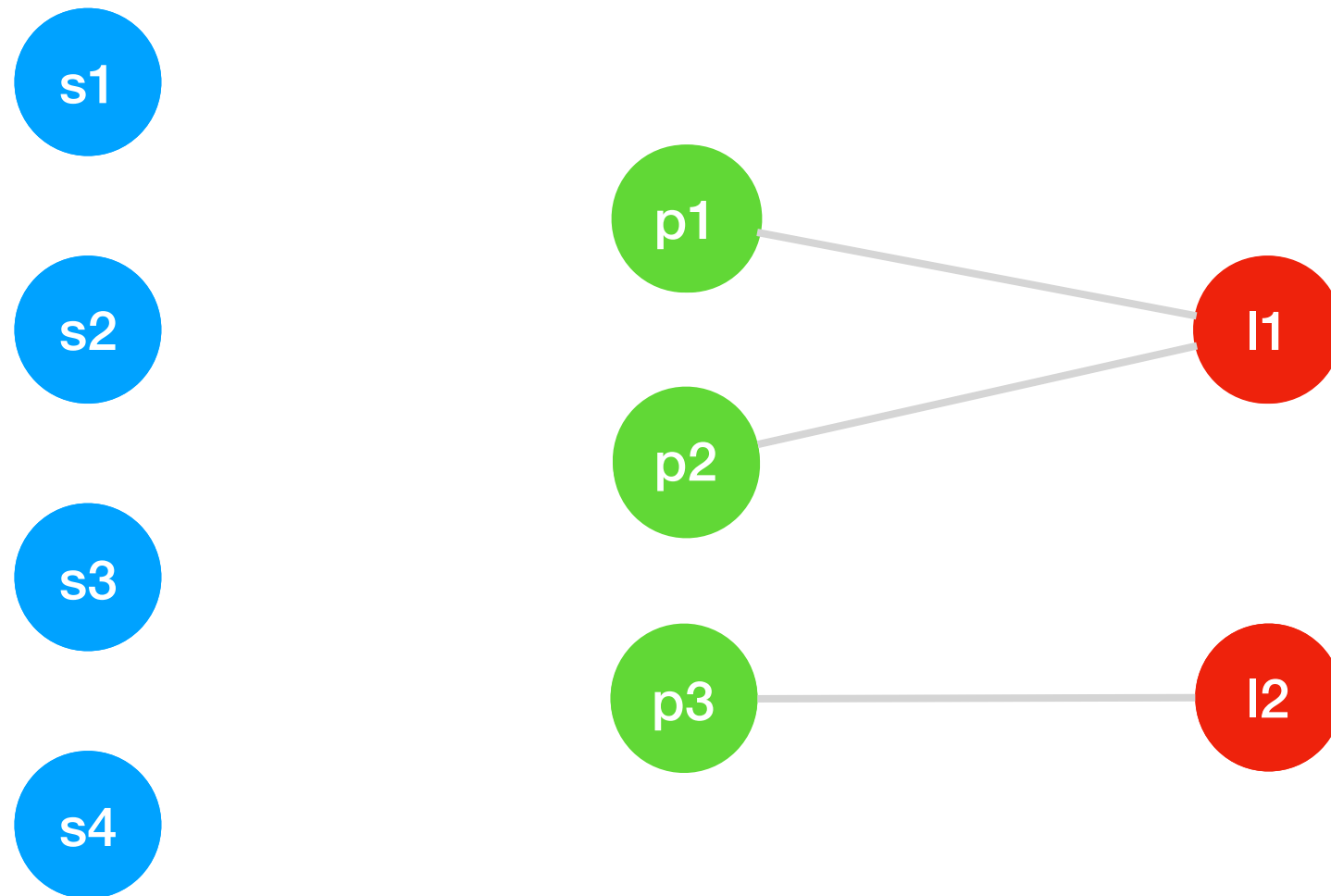
l2

Student-project allocation problem (SPA-ST)

Students

Projects

Lecturers



Student-project allocation problem (SPA-ST)

Students

Projects

Lecturers

s1

s2

s3

s4

p1

1 space

p2

2 spaces

p3

1 space

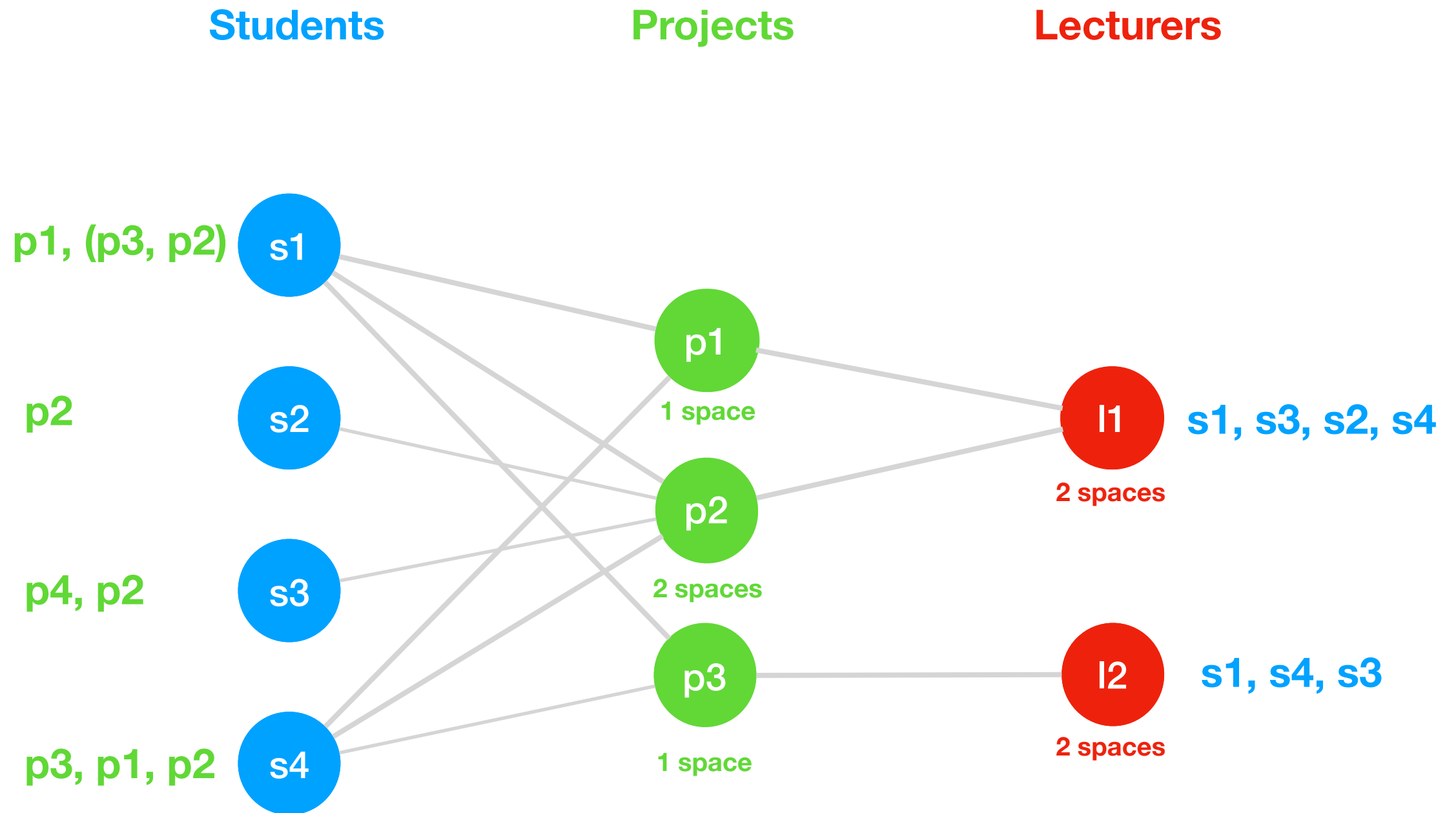
l1

2 spaces

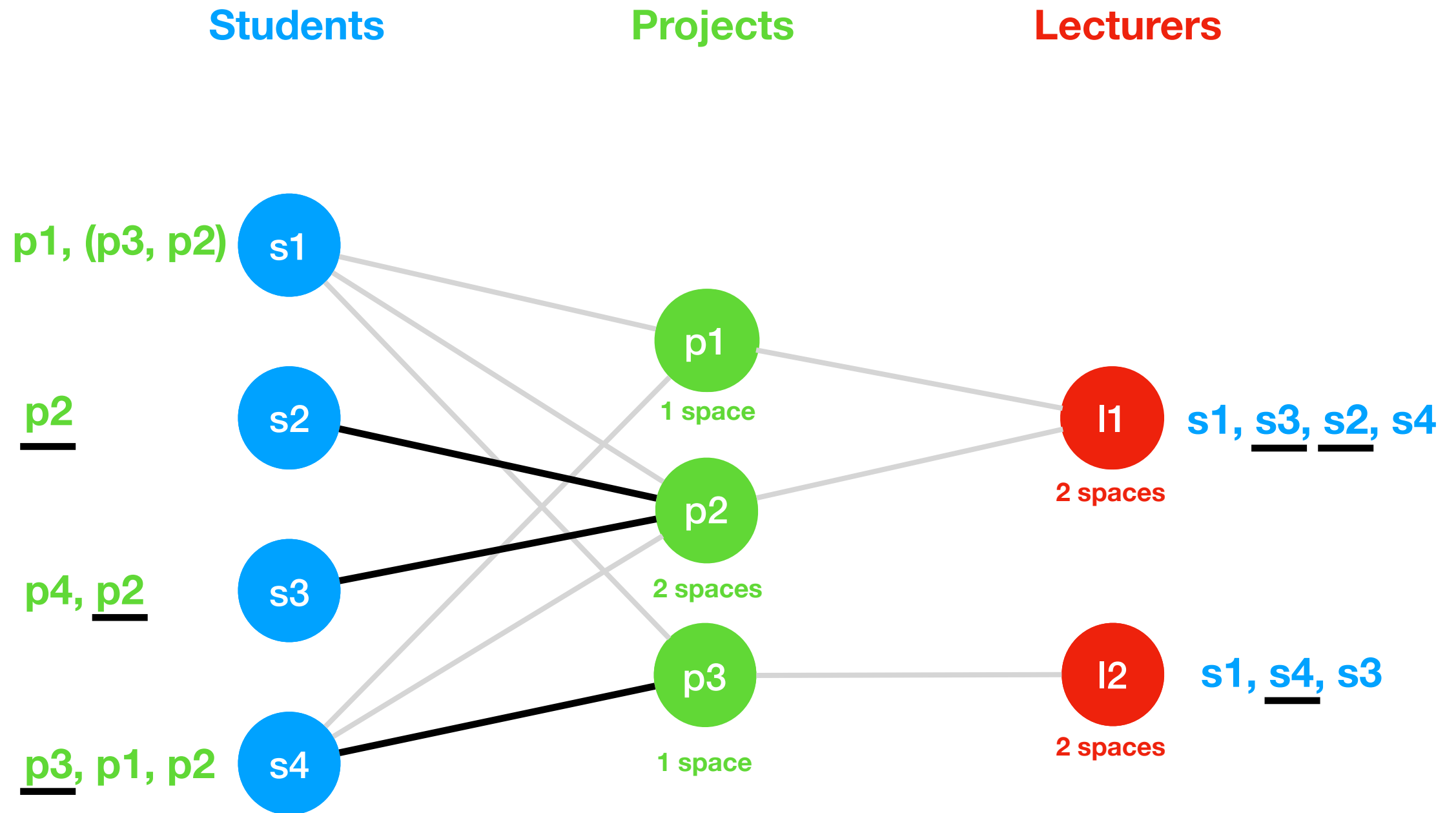
l2

2 spaces

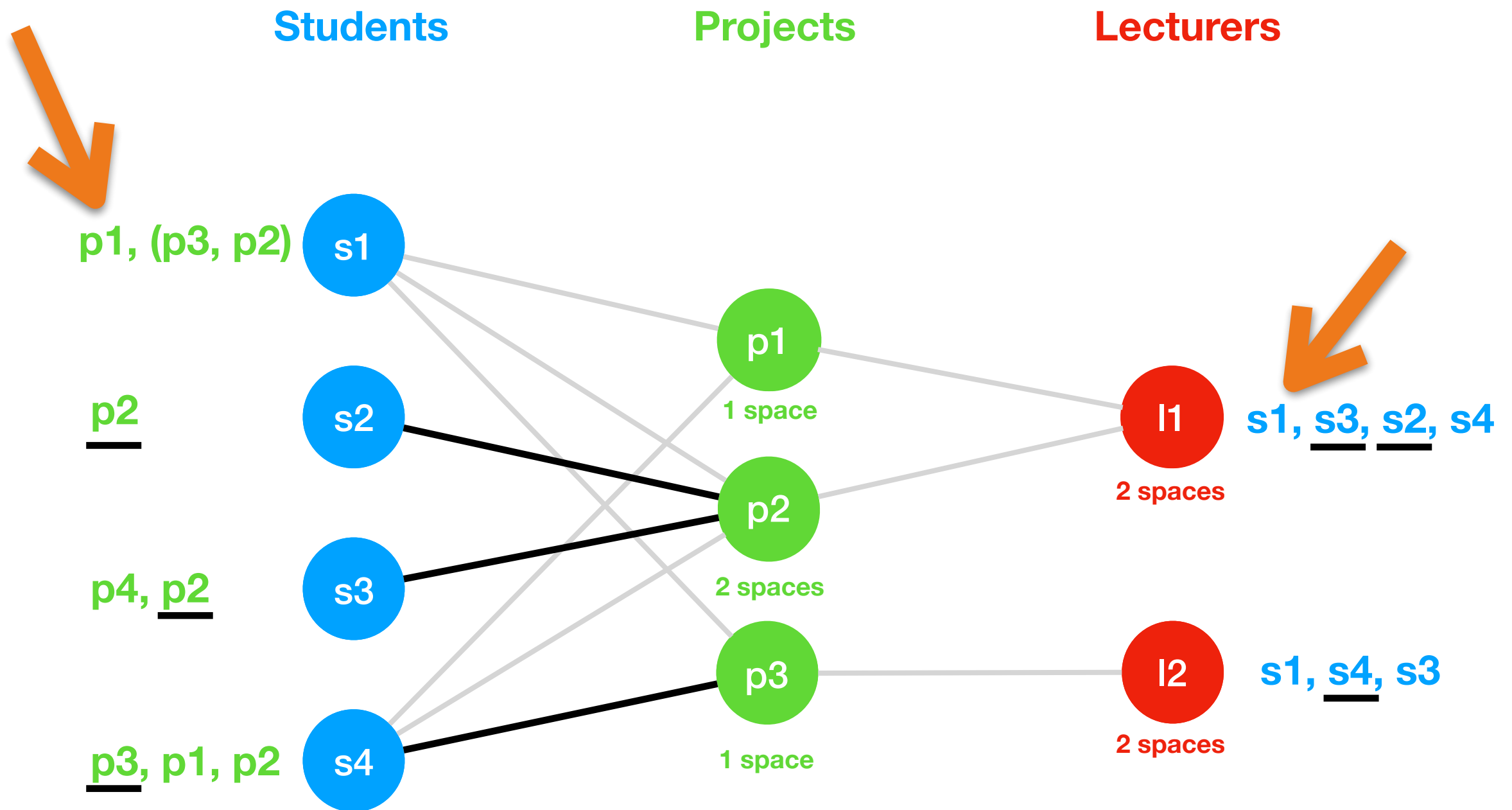
Student-project allocation problem (SPA-ST)



Student-project allocation problem (SPA-ST)

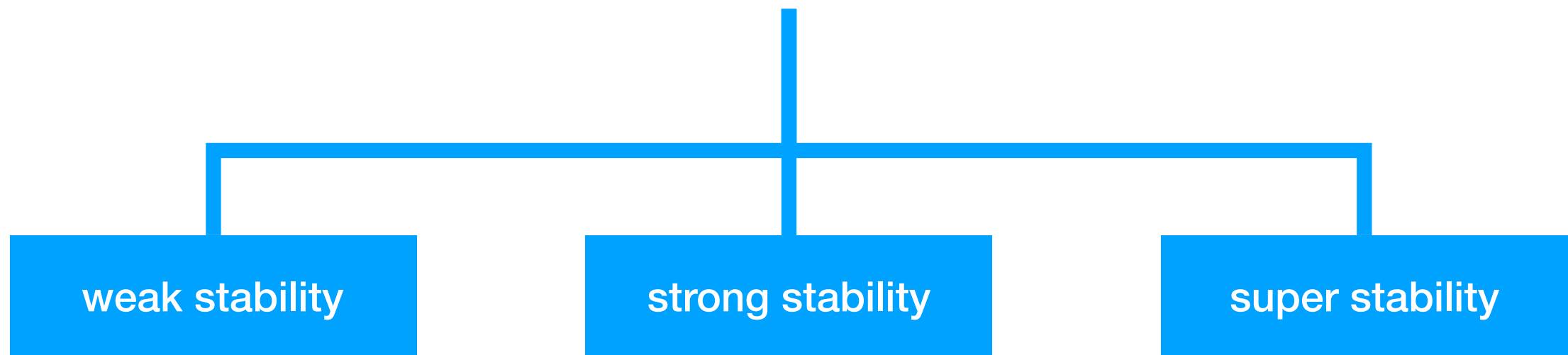


Student-project allocation problem (SPA-ST)

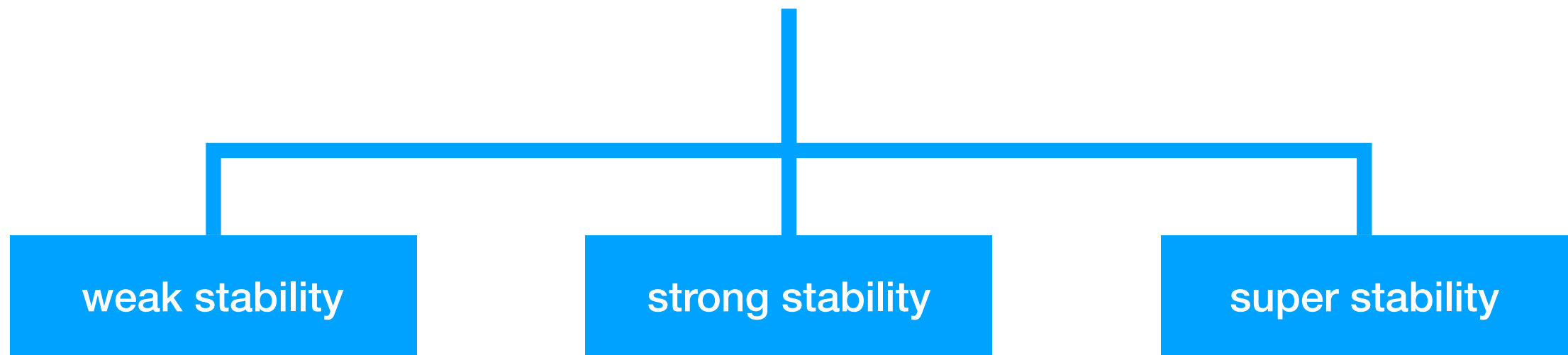


Stable matching

Stable matching

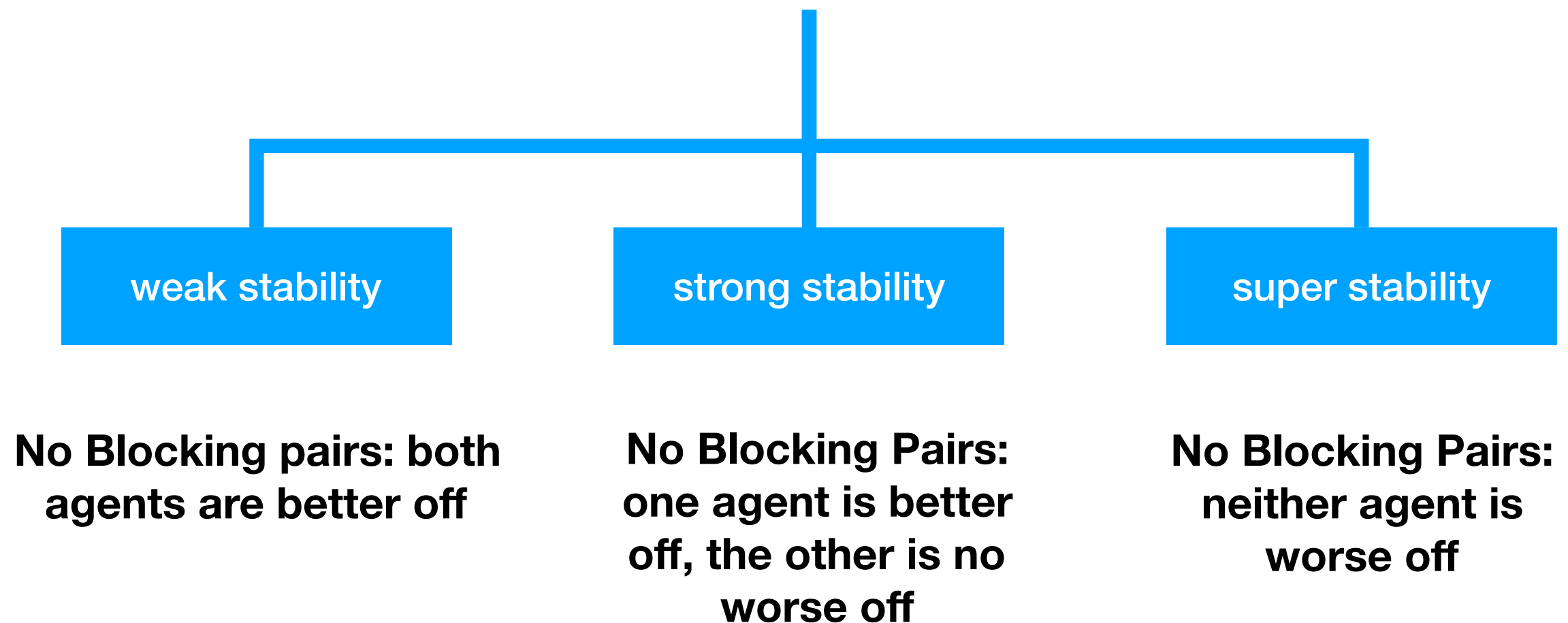


Stable matching



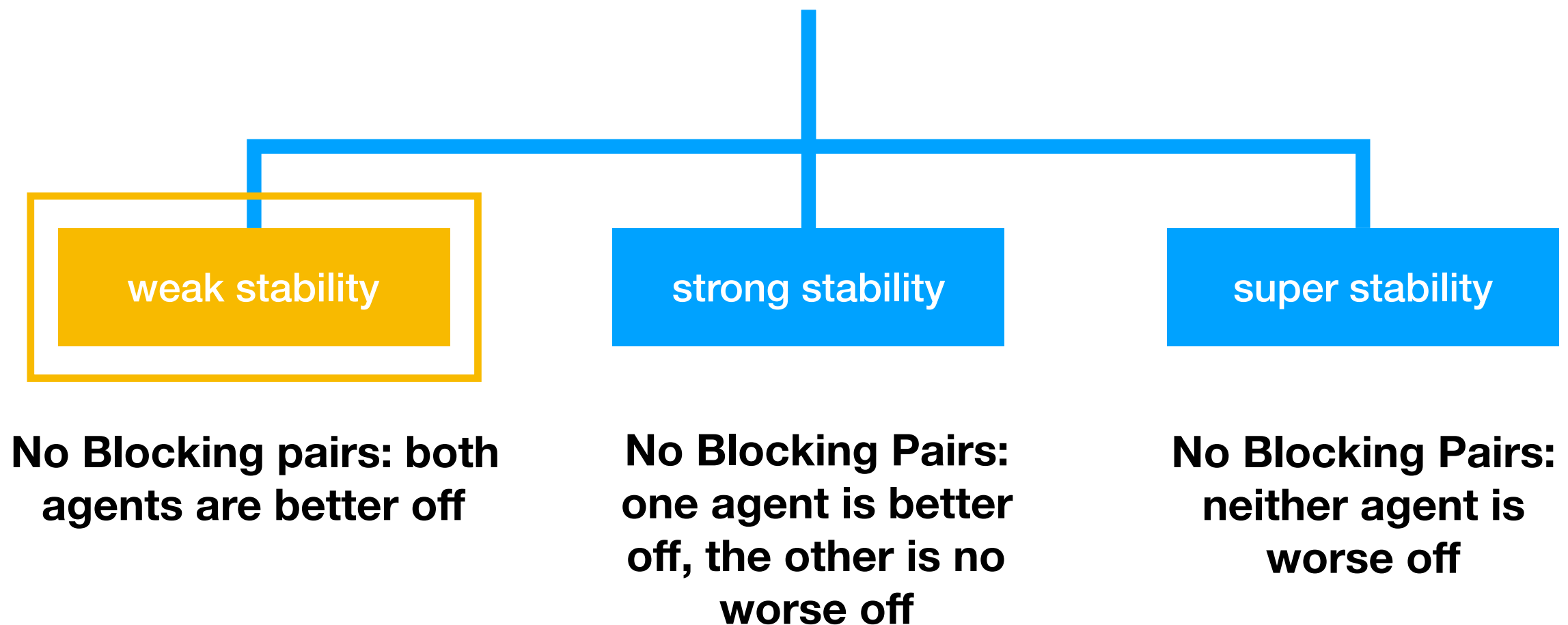
- A **stable matching** is a matching with no blocking pairs

Stable matching



- A **stable matching** is a matching with no blocking pairs

Stable matching



- A **stable matching** is a matching with no blocking pairs

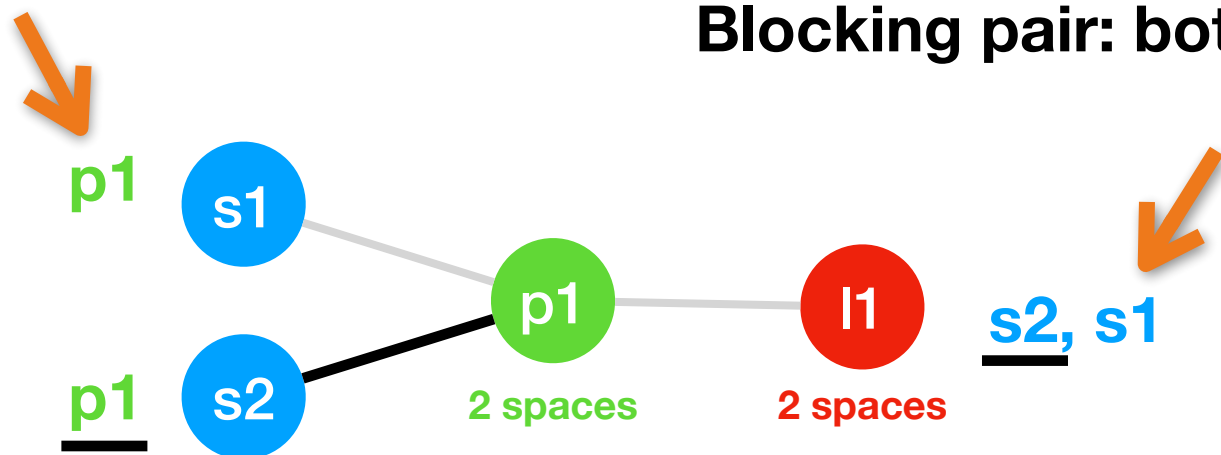
weak stability

weak stability

Blocking pair: both agents are better off

weak stability

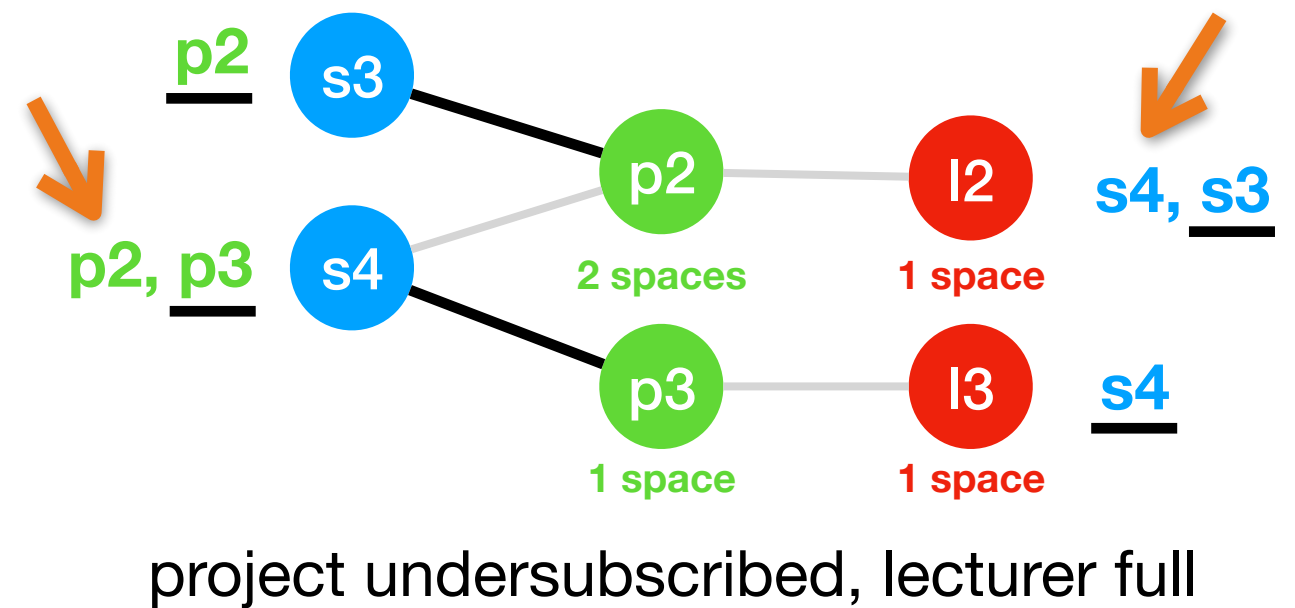
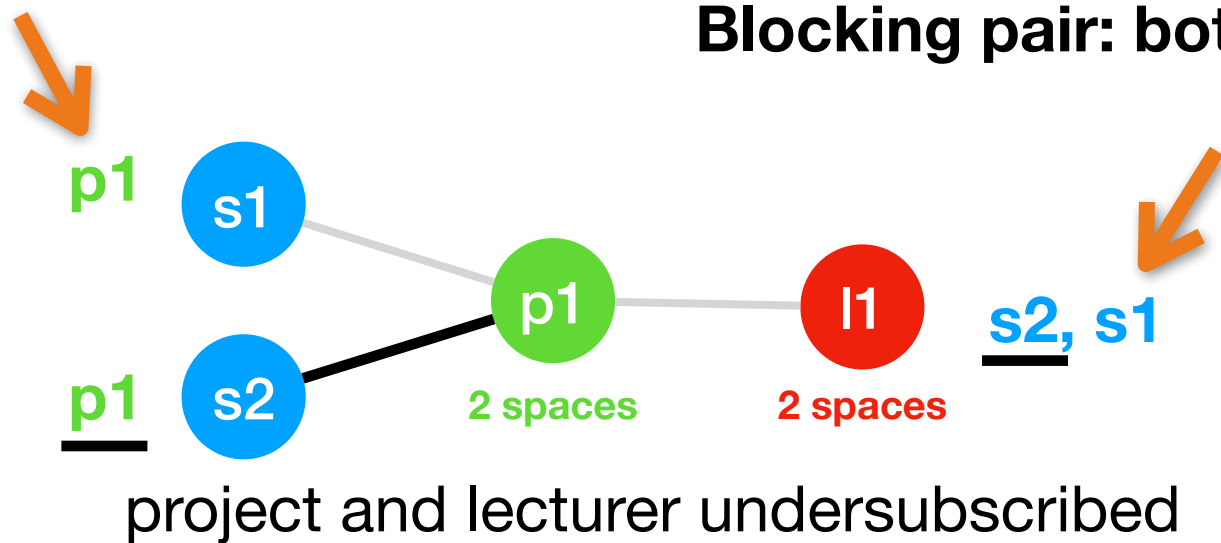
Blocking pair: both agents are better off



project and lecturer undersubscribed

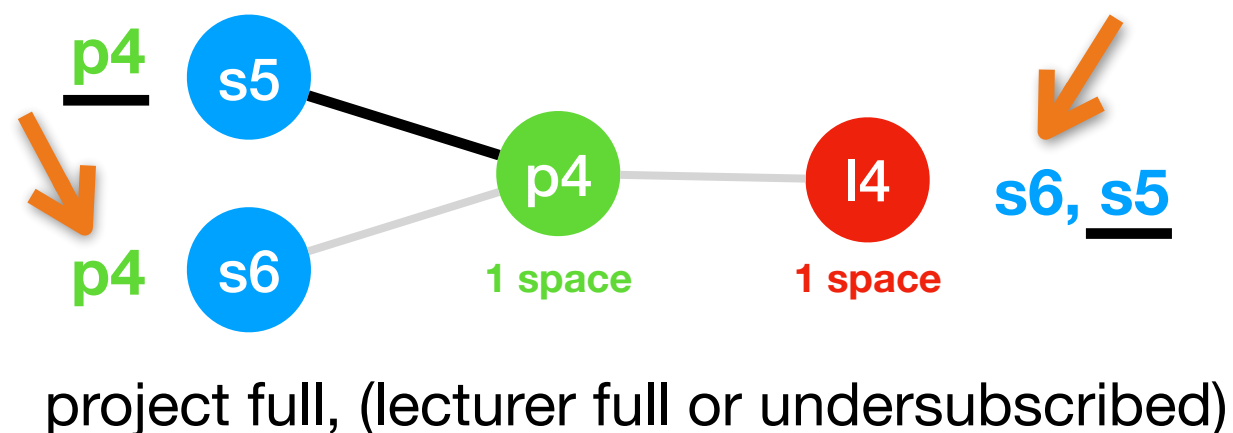
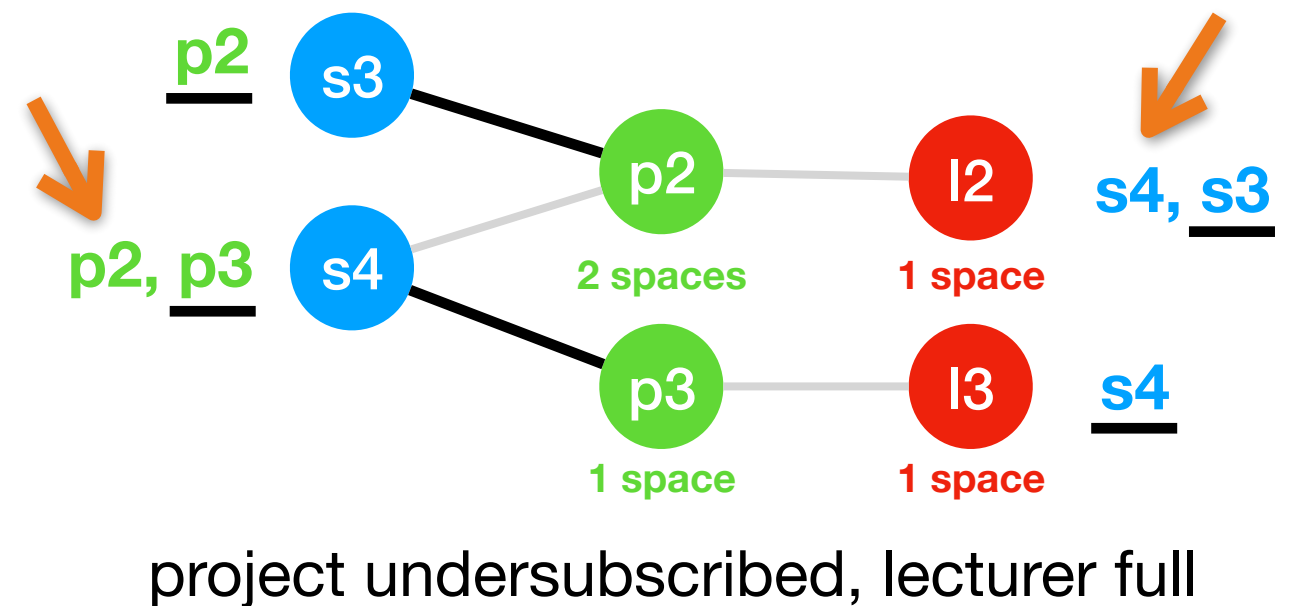
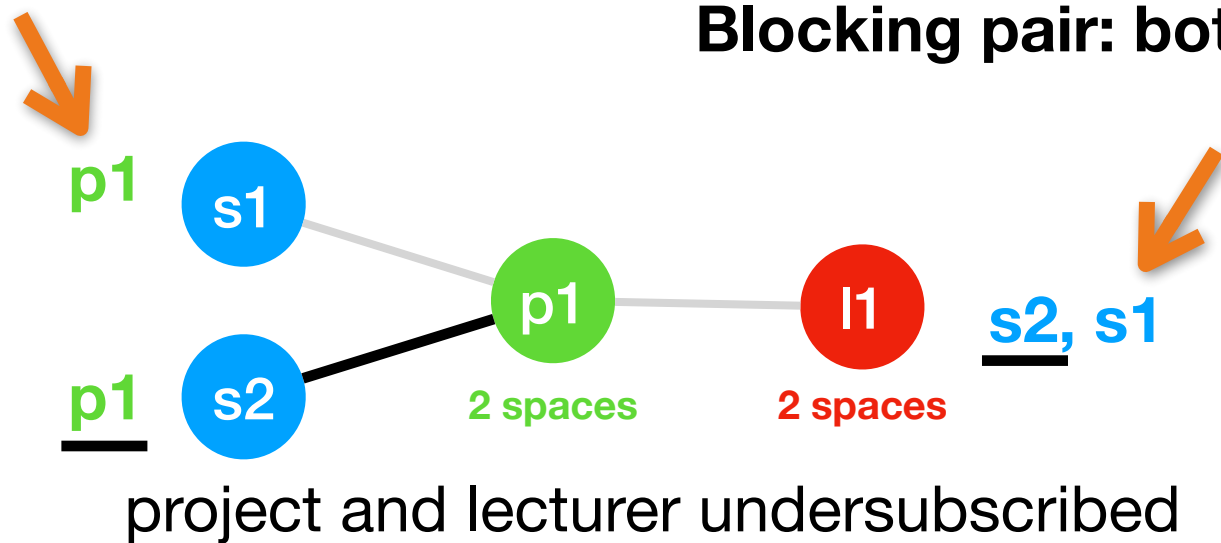
weak stability

Blocking pair: both agents are better off



weak stability

Blocking pair: both agents are better off



Maximum stable matchings

Maximum stable matchings

- A **stable matching** is a matching with no blocking pairs

Maximum stable matchings

- A **stable matching** is a matching with no blocking pairs
- No ties in preference lists - find a stable matching in polynomial time - all same size

Two Algorithms for the Student Project
Allocation Problem; Journal of Discrete
Algorithms; 2007; Abraham, Irving, Manlove

Maximum stable matchings

- A **stable matching** is a matching with no blocking pairs
- No ties in preference lists - find a stable matching in polynomial time - all same size
- **Ties in preference lists** - find a stable matching in polynomial time - but stable matchings are **different sizes**

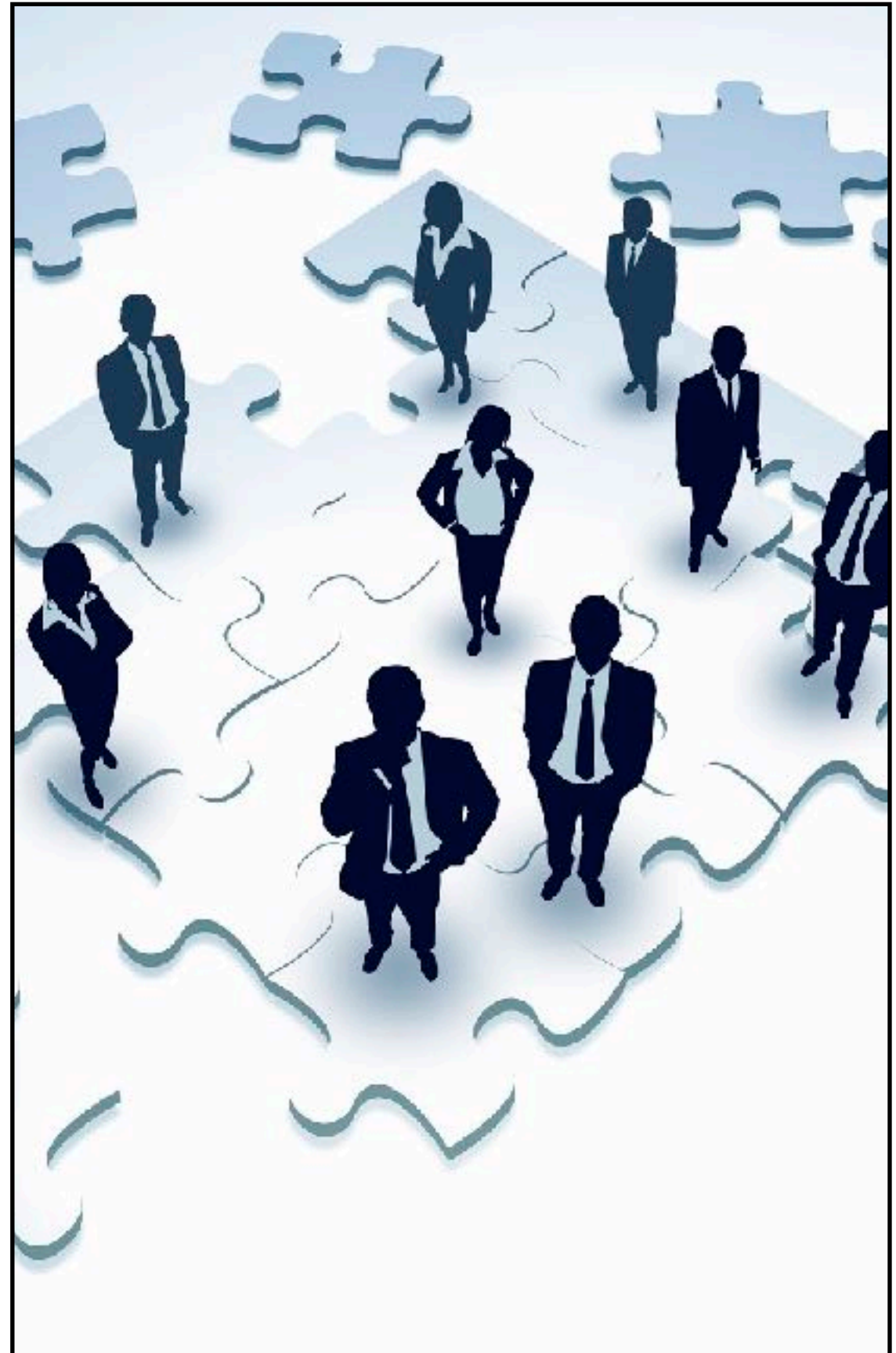
Two Algorithms for the Student Project
Allocation Problem; Journal of Discrete
Algorithms; 2007; Abraham, Irving, Manlove

Maximum stable matchings

- A **stable matching** is a matching with no blocking pairs
- No ties in preference lists - find a stable matching in polynomial time - all same size
- **Ties in preference lists** - find a stable matching in polynomial time - but stable matchings are **different sizes**
- Finding a maximum sized stable matching is **NP-hard**.

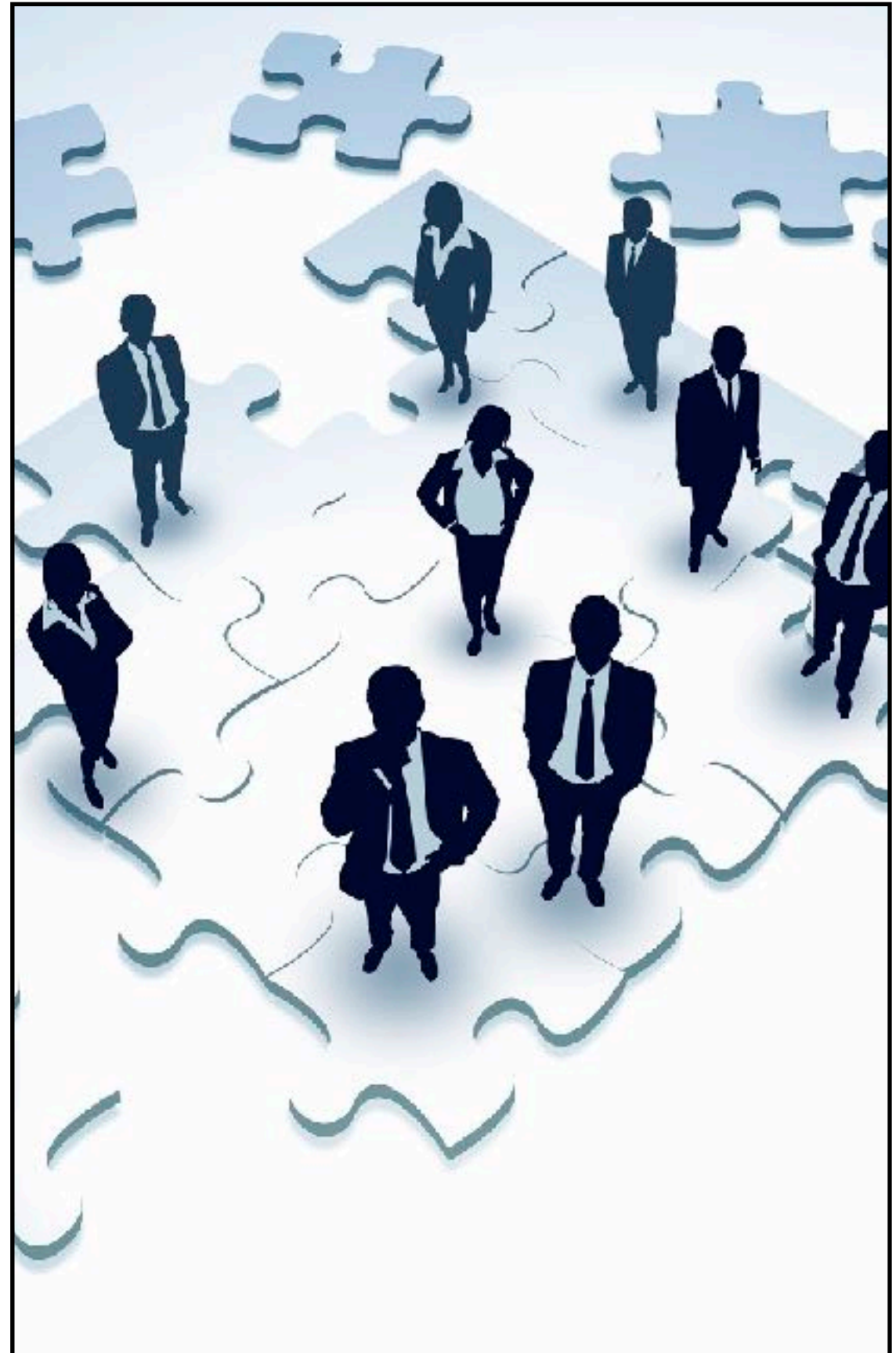
Two Algorithms for the Student Project
Allocation Problem; Journal of Discrete
Algorithms; 2007; Abraham, Irving, Manlove

Finding a maximum sized stable matching



Finding a maximum sized stable matching

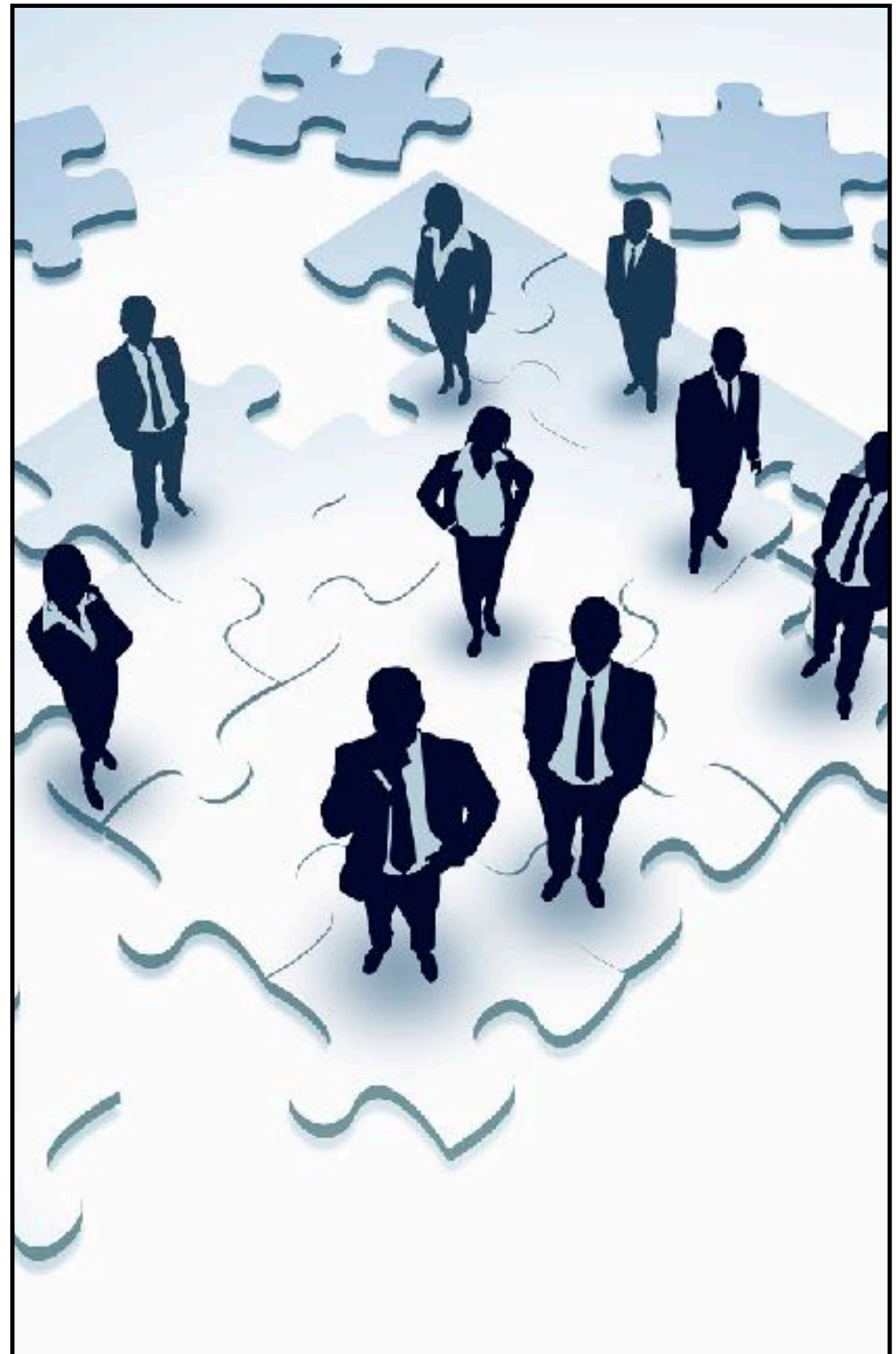
Two techniques:



Finding a maximum sized stable matching

Two techniques:

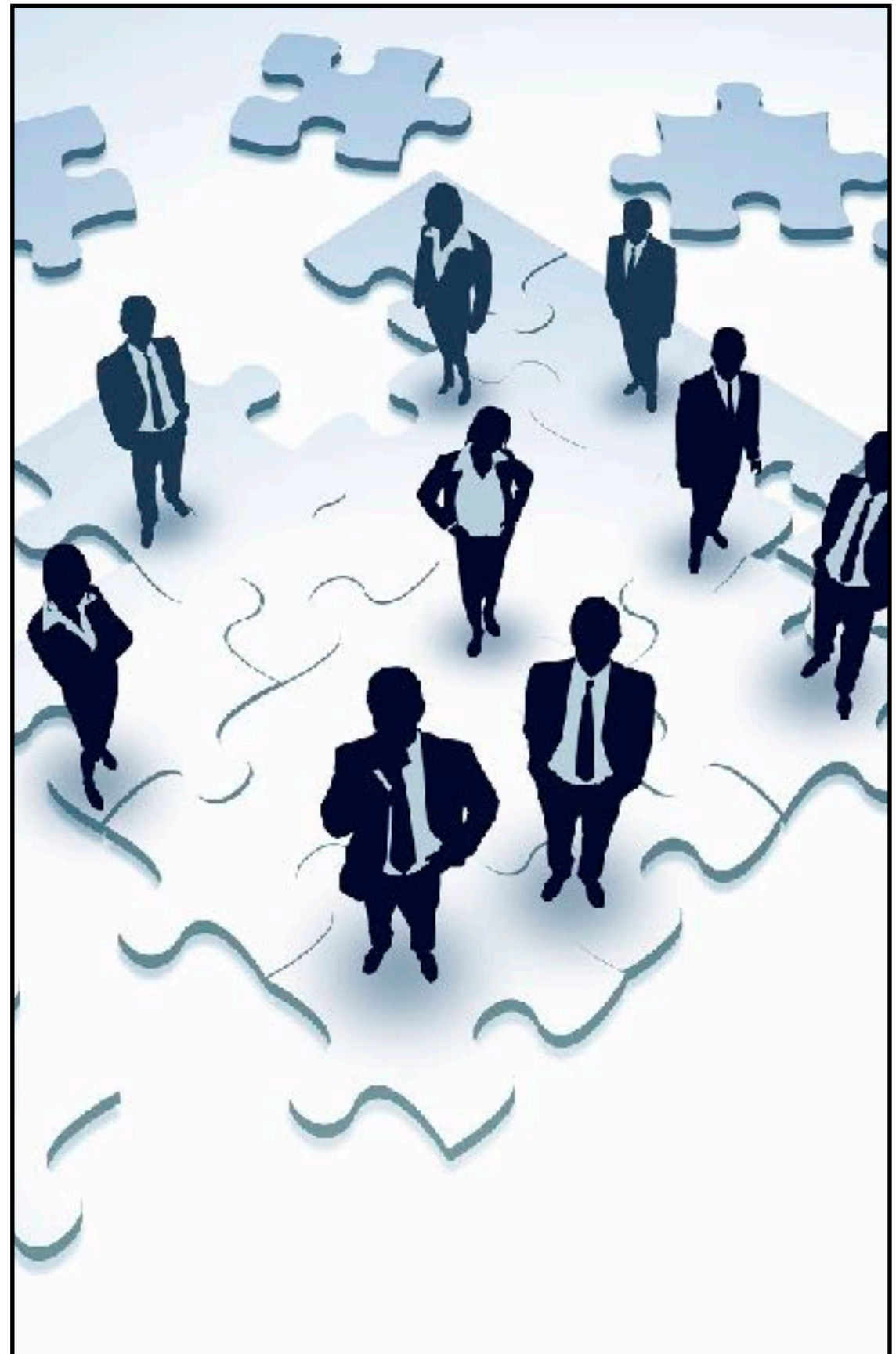
1. Approximation algorithm



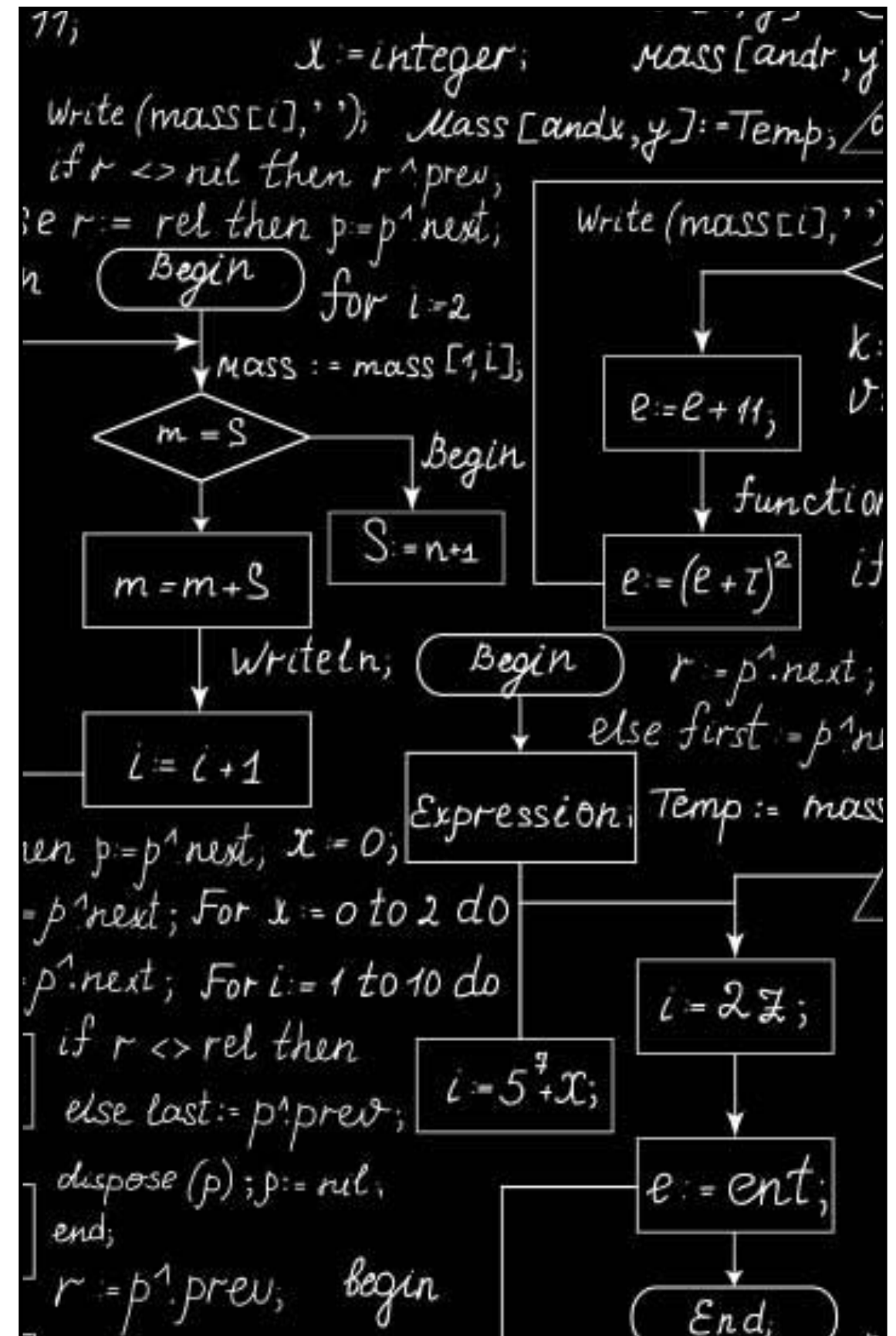
Finding a maximum sized stable matching

Two techniques:

1. Approximation algorithm
2. Integer Programming



Approximation Algorithm



Previous work

Previous work

- Hospitals/Residents with Ties (HRT) - special case of SPA-ST, each lecturer offers one project and the capacity of each lecturer equals the capacity of their offered project

Previous work

- Hospitals/Residents with Ties (HRT) - special case of SPA-ST, each lecturer offers one project and the capacity of each lecturer equals the capacity of their offered project
- A $3/2$ -approximation algorithm exists for HRT

Linear Time Local
Approximation Algorithm for
Maximum Stable Marriage;
Algorithms; 2013; Kiraly

Previous work

- Hospitals/Residents with Ties (HRT) - special case of SPA-ST, each lecturer offers one project and the capacity of each lecturer equals the capacity of their offered project
- A $3/2$ -approximation algorithm exists for HRT
- Can I just convert my problem and use this algorithm?

Linear Time Local
Approximation Algorithm for
Maximum Stable Marriage;
Algorithms; 2013; Kiraly

Previous work

- Hospitals/Residents with Ties (HRT) - special case of SPA-ST, each lecturer offers one project and the capacity of each lecturer equals the capacity of their offered project
- A $3/2$ -approximation algorithm exists for HRT
- Can I just convert my problem and use this algorithm?
- Not using a conversion process we tried.

Linear Time Local
Approximation Algorithm for
Maximum Stable Marriage;
Algorithms; 2013; Kiraly

3/2-approximation algorithm

3/2-approximation algorithm

- Created a new 3/2 approximation algorithm for SPA-ST, based on Kiraly's HRT algorithm.

3/2-approximation algorithm

- Created a new 3/2 approximation algorithm for SPA-ST, based on Kiraly's HRT algorithm.
- Moving from HRT to SPA-ST

3/2-approximation algorithm

- Created a new 3/2 approximation algorithm for SPA-ST, based on Kiraly's HRT algorithm.
- Moving from HRT to SPA-ST
 - Lecturers added a lot of complications

3/2-approximation algorithm

- Created a new 3/2 approximation algorithm for SPA-ST, based on Kiraly's HRT algorithm.
- Moving from HRT to SPA-ST
 - Lecturers added a lot of complications
 - Definition of a blocking pair is more complicated

Approximation algorithm

high-level look

Approximation algorithm

high-level look

Students (who are not already assigned) apply in turn to their favourite project on their preference list. Assume student **s** applies to project **p**.

Approximation algorithm

high-level look

Students (who are not already assigned) apply in turn to their favourite project on their preference list. Assume student s applies to project p .

- if p and l (the lecturer of p) are undersubscribed then we add (s, p) to our matching

Approximation algorithm

high-level look

Students (who are not already assigned) apply in turn to their favourite project on their preference list. Assume student **s** applies to project **p**.

- if **p** and **l** (the lecturer of **p**) are undersubscribed then we add (**s**,**p**) to our matching
- if either **p** or **l** are full then we need to check whether (**s**,**p**) should replace an *existing* pair in the matching

Approximation algorithm

high-level look

Students (who are not already assigned) apply in turn to their favourite project on their preference list. Assume student **s** applies to project **p**.

- if **p** and **l** (the lecturer of **p**) are undersubscribed then we add (**s**,**p**) to our matching
- if either **p** or **l** are full then we need to check whether (**s**,**p**) should replace an *existing* pair in the matching
- if there is no chance for **s** to assign to **p** then **s** will remove **p** from their preference list (and will now apply to their next favourite)

Approximation algorithm

high-level look

Students (who are not already assigned) apply in turn to their favourite project on their preference list. Assume student **s** applies to project **p**.

- if **p** and **l** (the lecturer of **p**) are undersubscribed then we add (**s**,**p**) to our matching
- if either **p** or **l** are full then we need to check whether (**s**,**p**) should replace an *existing* pair in the matching
- if there is no chance for **s** to assign to **p** then **s** will remove **p** from their preference list (and will now apply to their next favourite)
- Students iterate twice through their preference list

Proofs

Proofs

Three proofs required:

Proofs

Three proofs required:

- the algorithm runs in linear time

Proofs

Three proofs required:

- the algorithm runs in linear time
- the resultant matching is stable

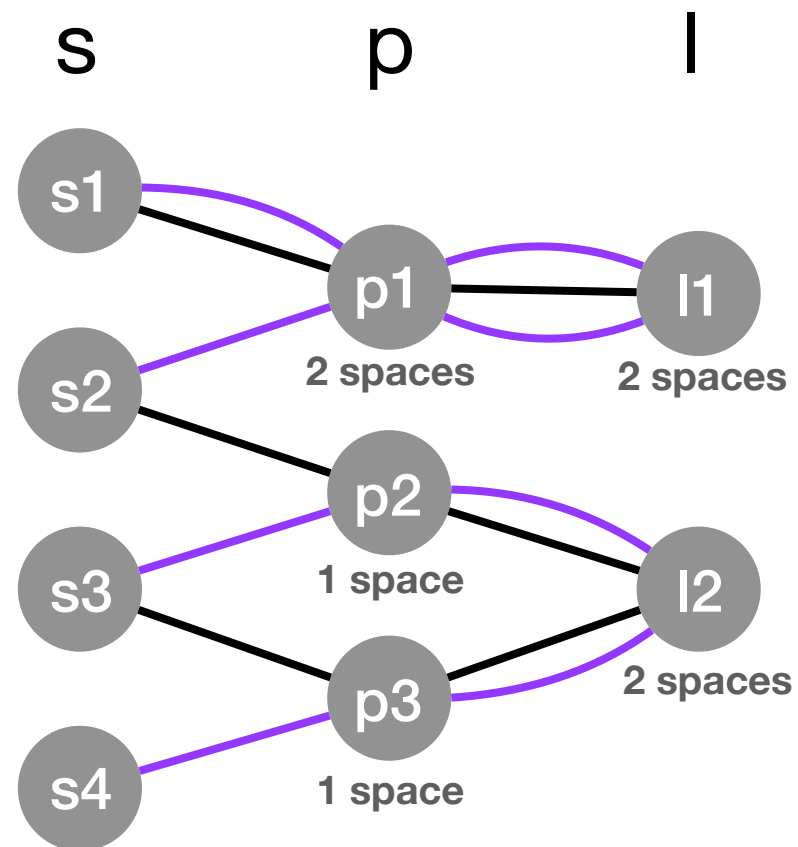
Proofs

Three proofs required:

- the algorithm runs in linear time
- the resultant matching is stable
- the matching is at least $2/3$ the size of optimal

Performance guarantee - creating G'

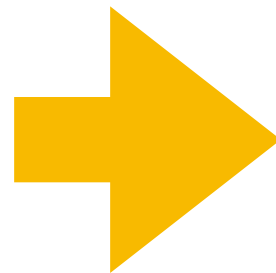
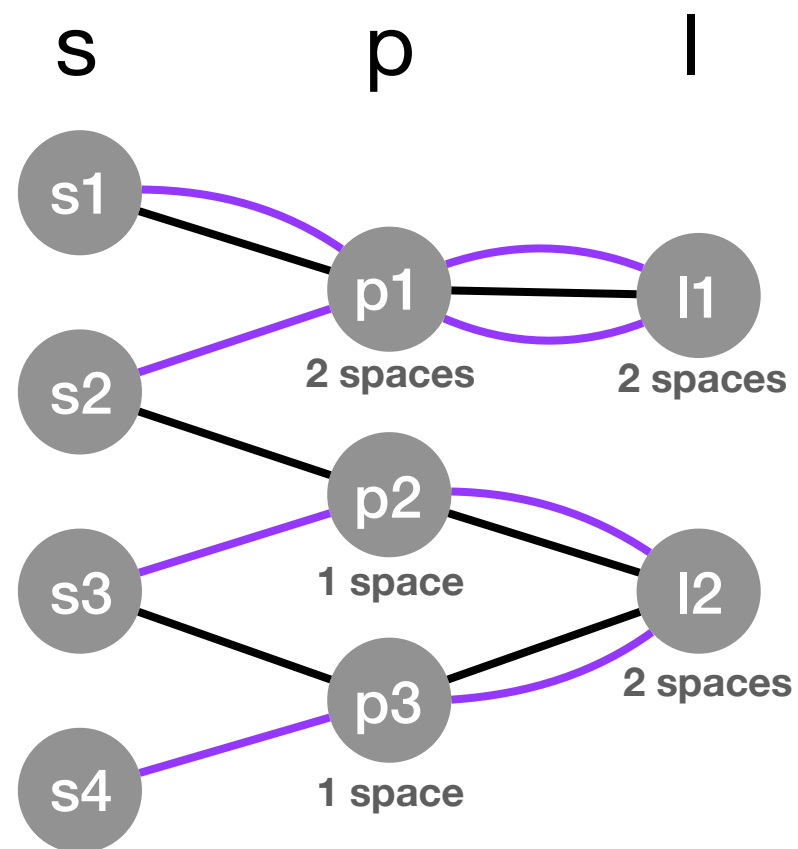
Performance guarantee - creating G'



G

— M_{opt}
— M

Performance guarantee - creating G'

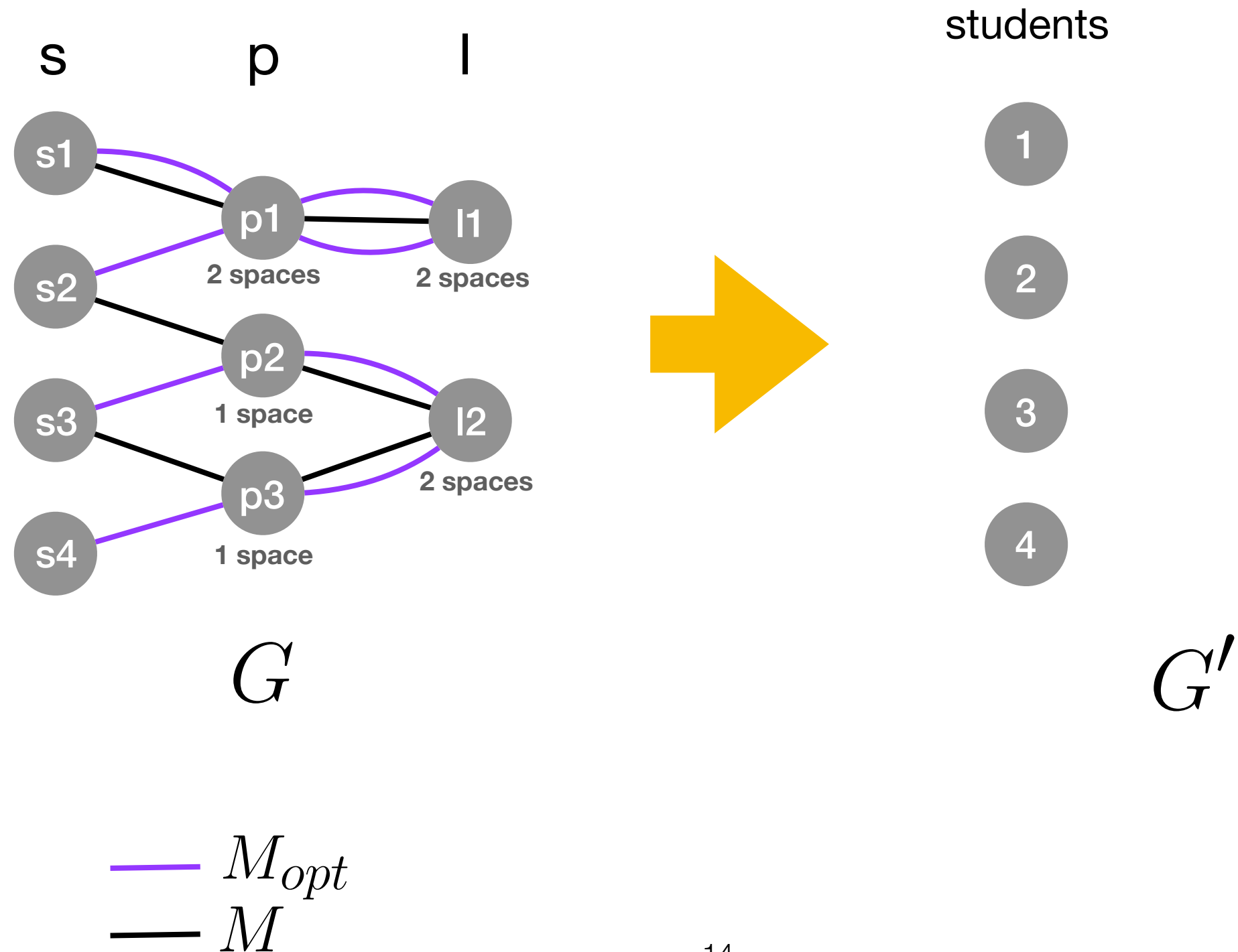


G

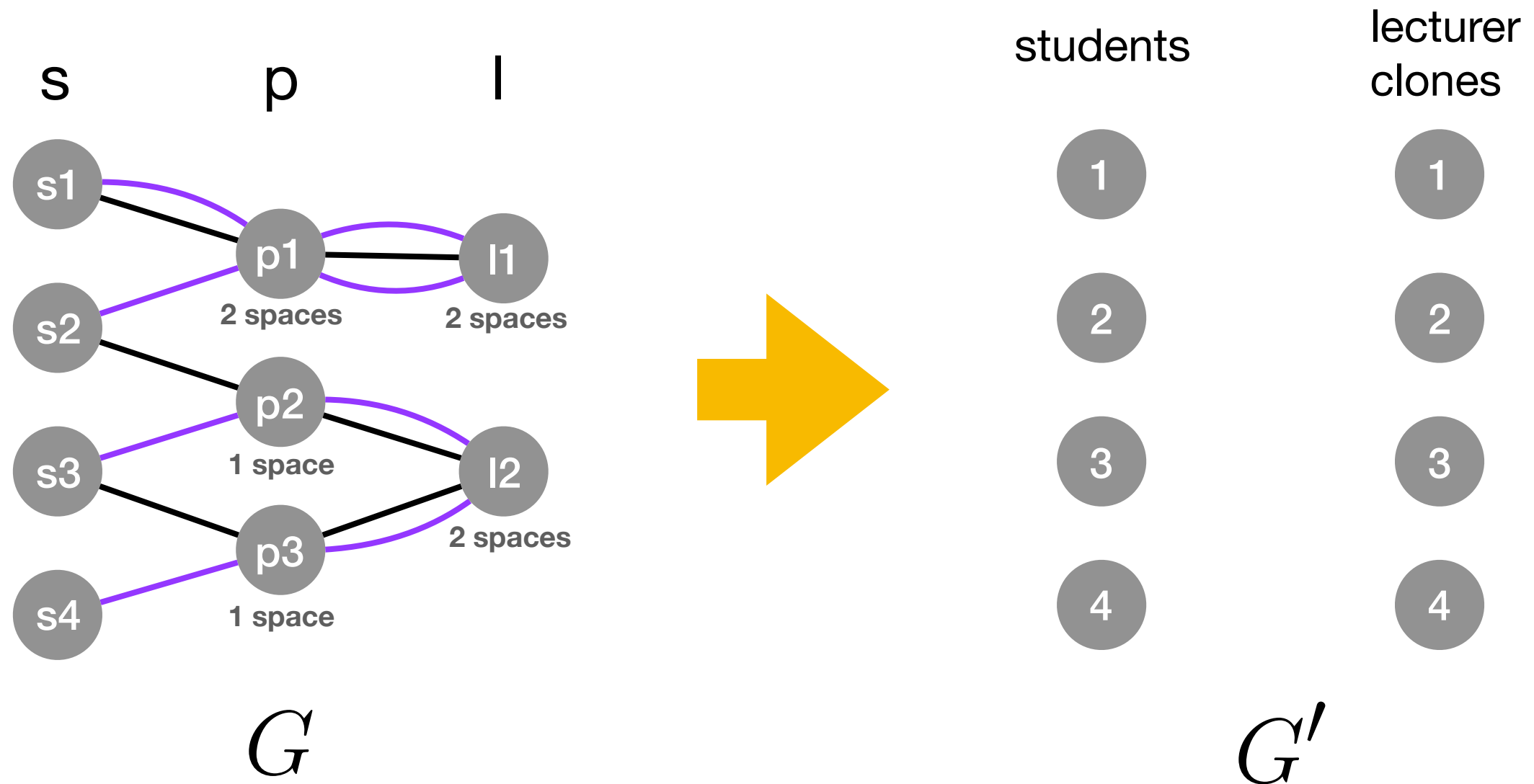
G'

— M_{opt}
— M

Performance guarantee - creating G'

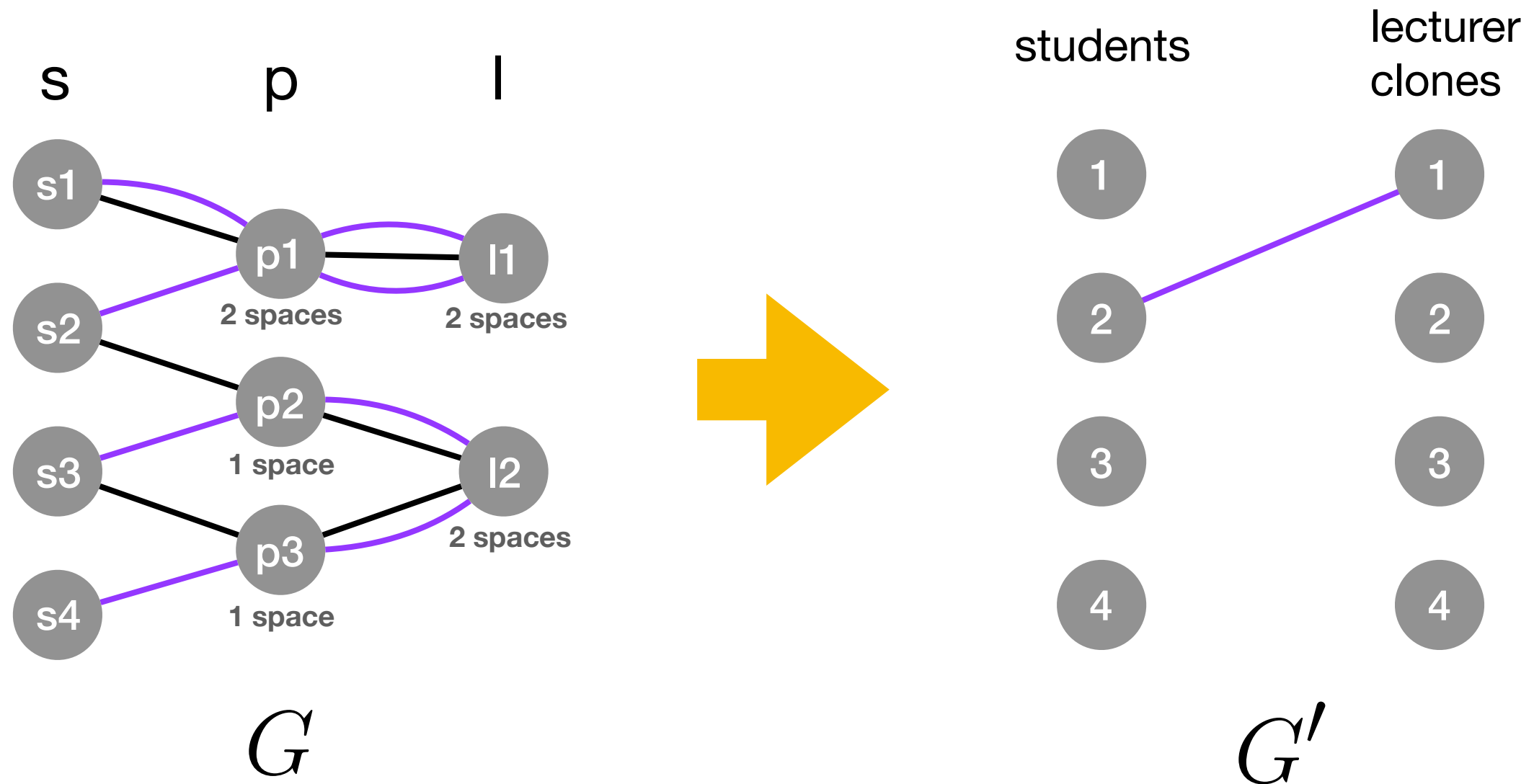


Performance guarantee - creating G'



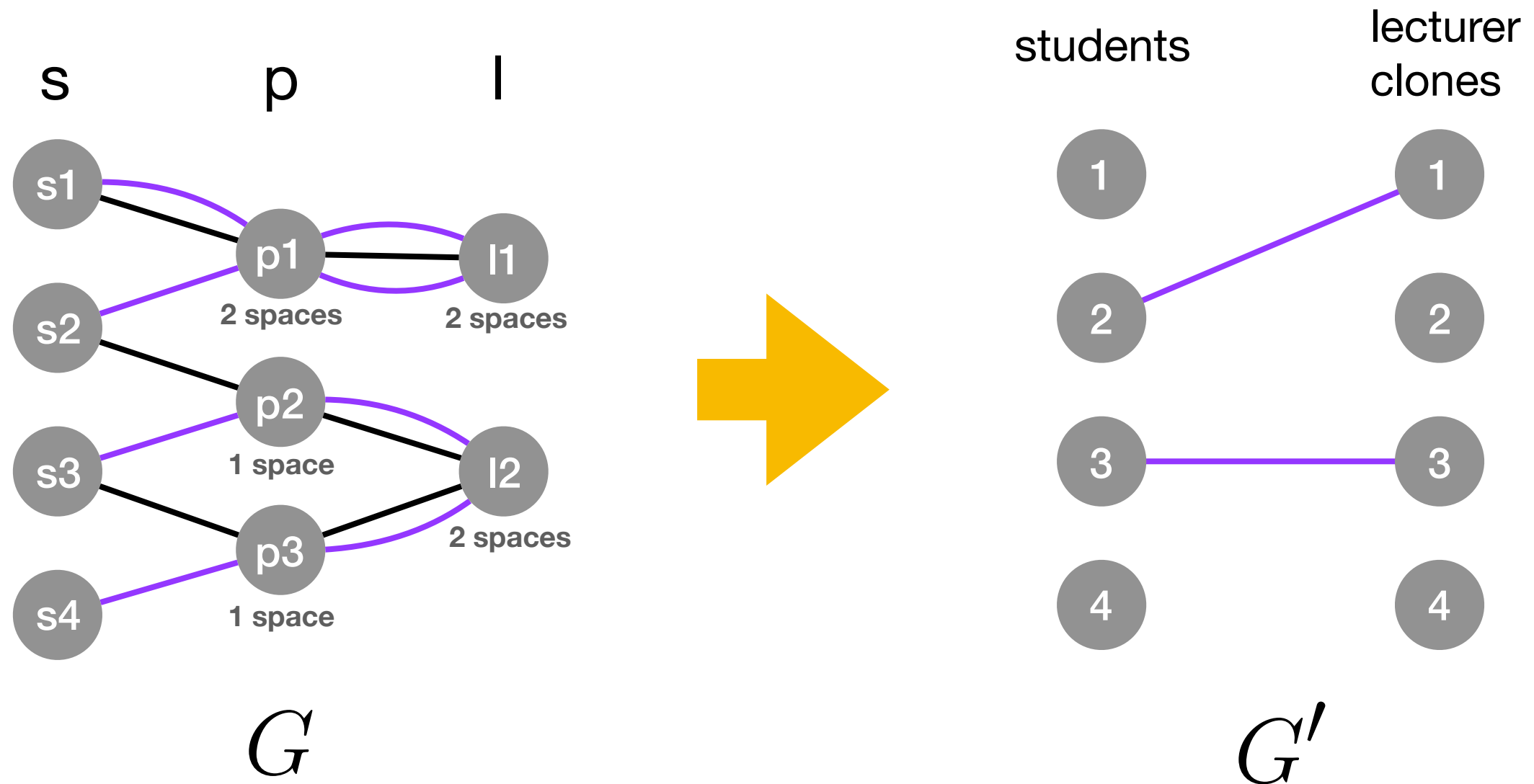
$\text{---} M_{opt}$
 $\text{---} M$

Performance guarantee - creating G'



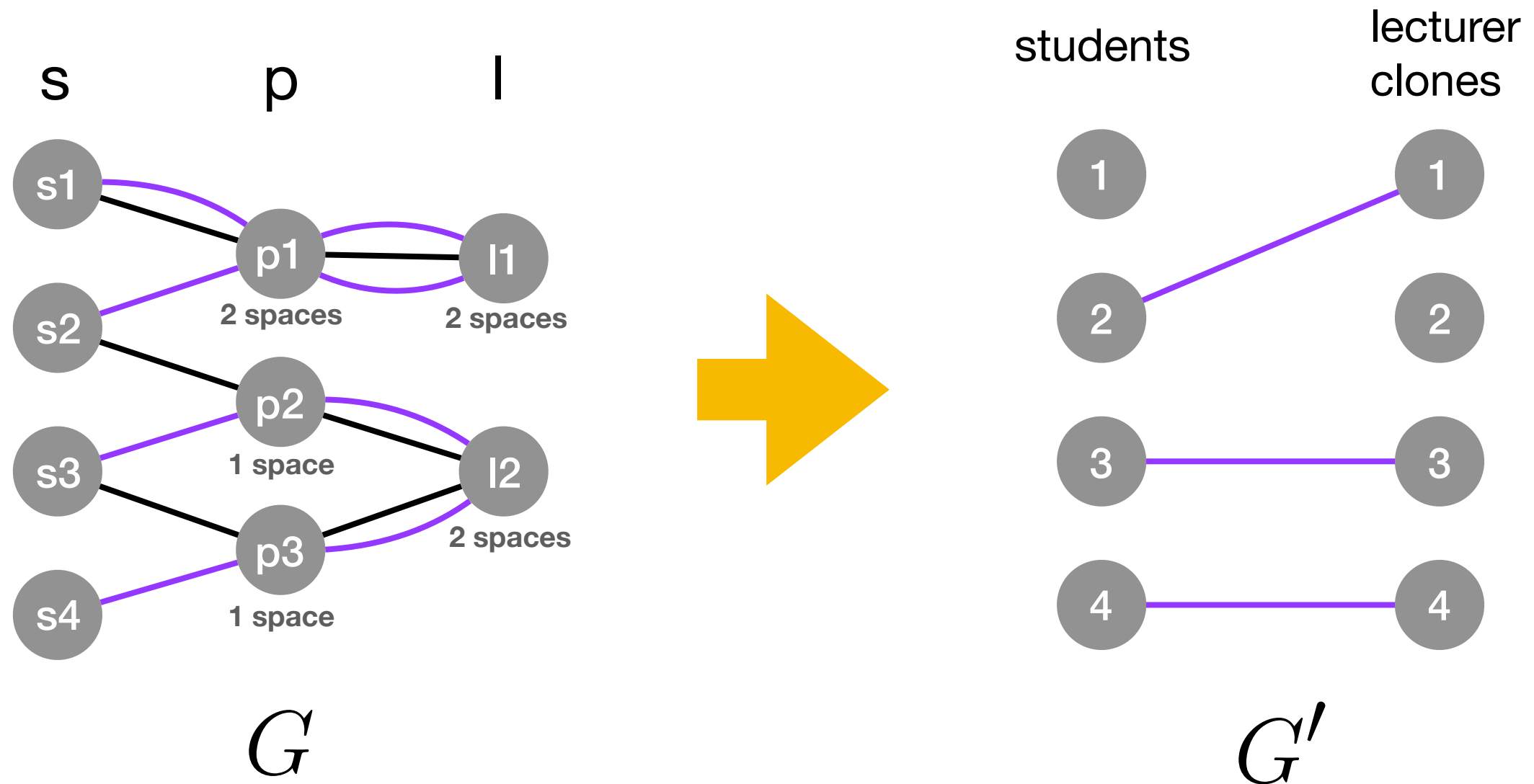
$\text{---} M_{opt}$
 $\text{---} M$

Performance guarantee - creating G'



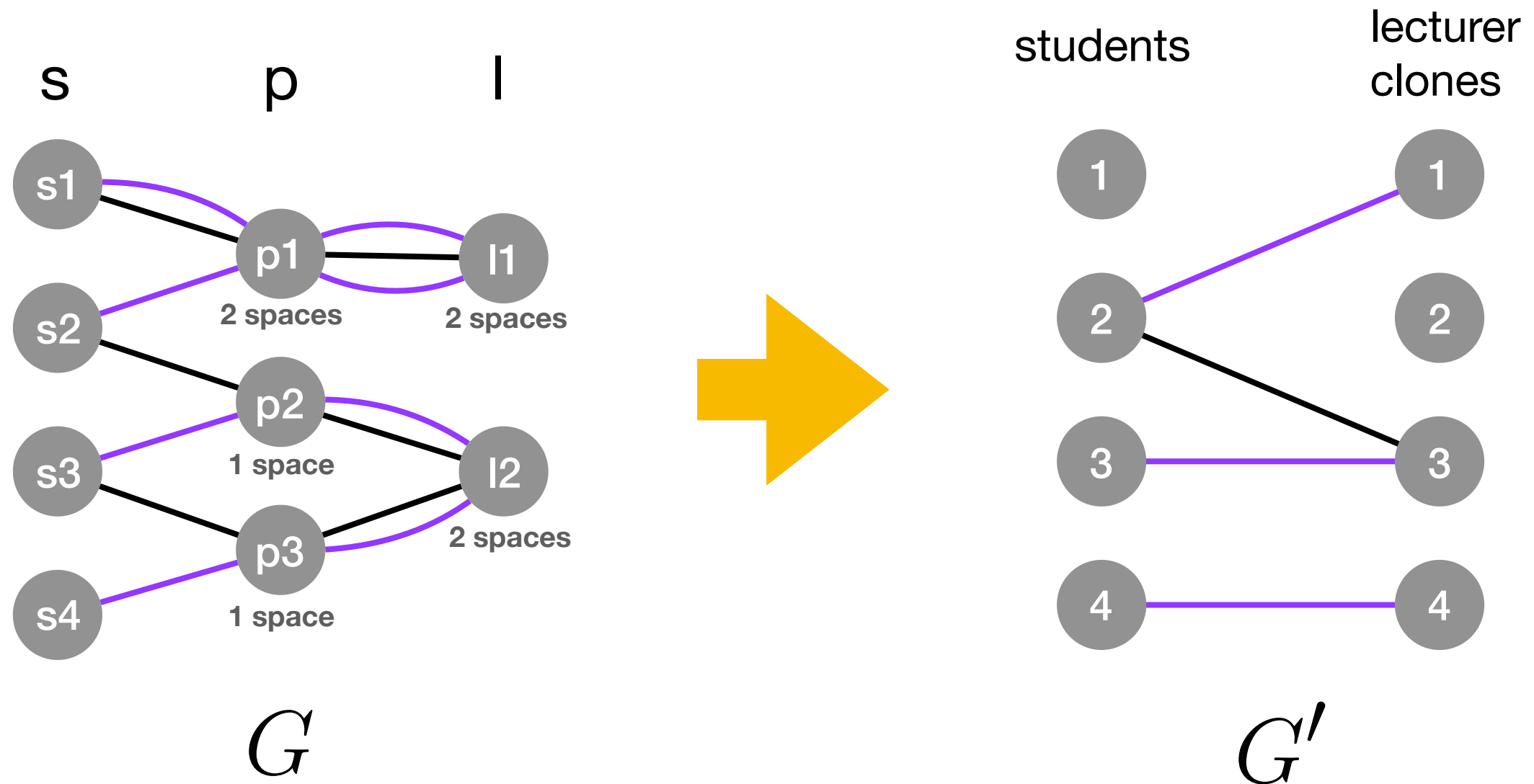
$\text{---} M_{opt}$
 $\text{---} M$



Performance guarantee - creating G'



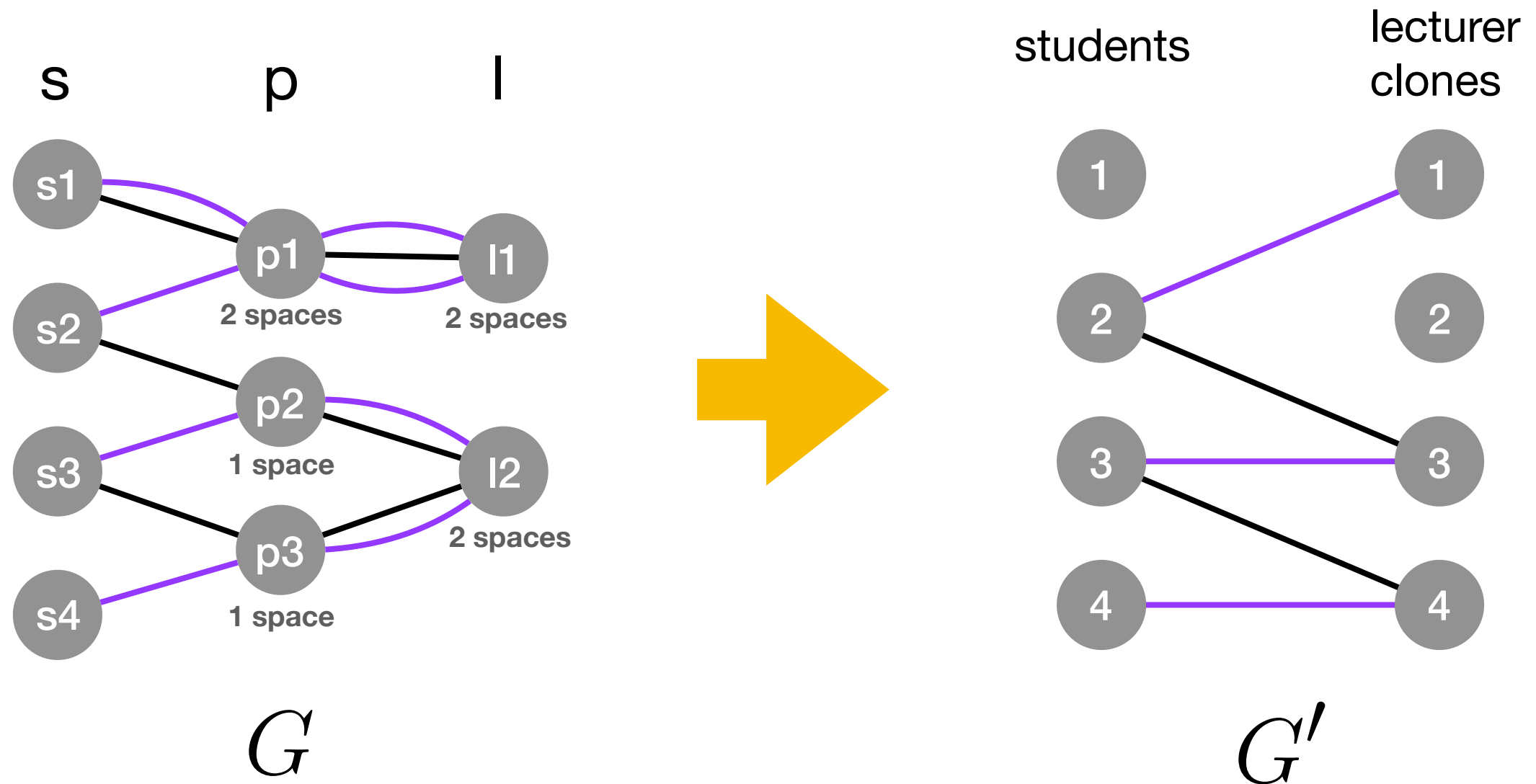
$\text{---} M_{opt}$
 $\text{---} M$



Performance guarantee - creating G'



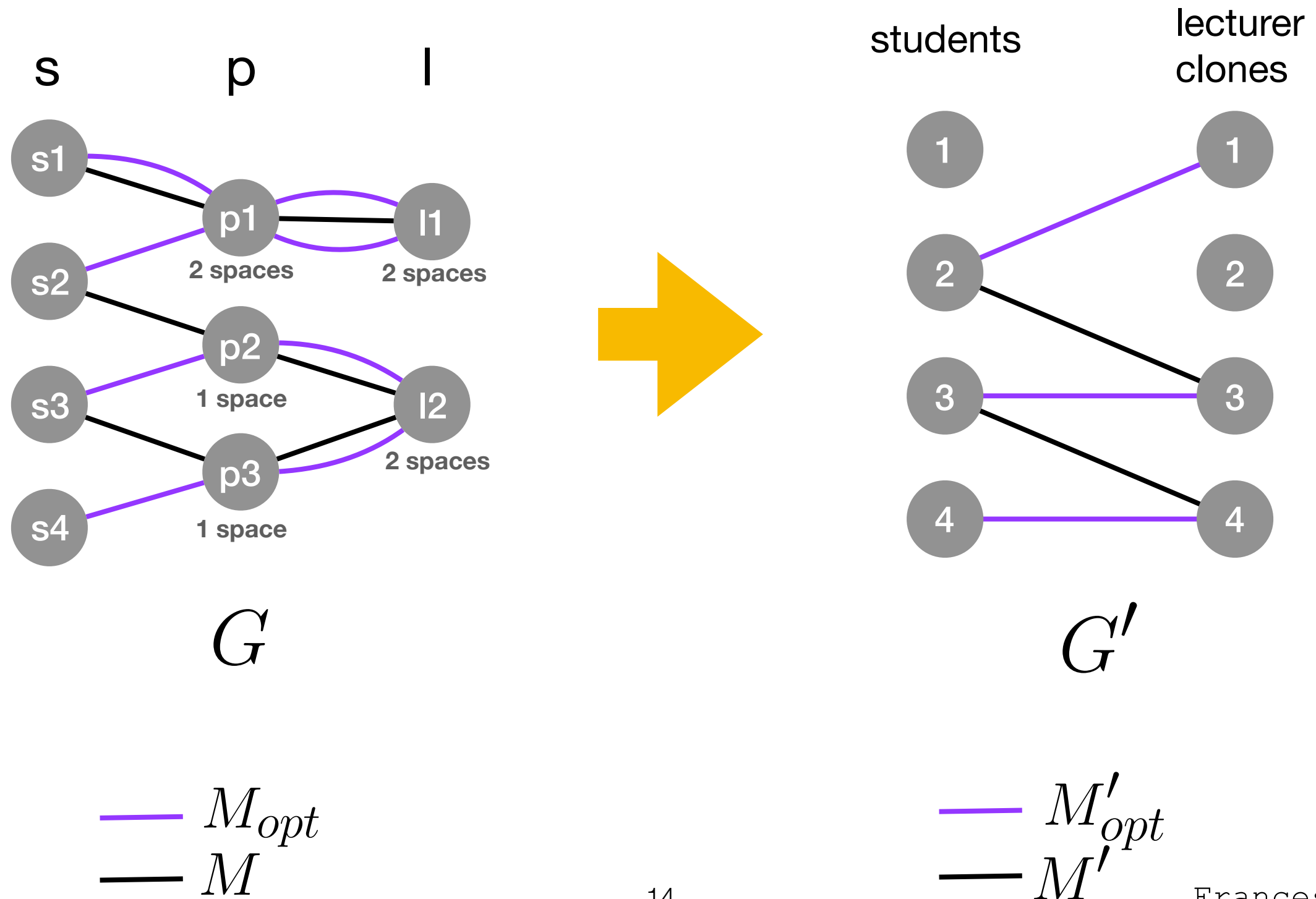
 M_{opt}
 M

Performance guarantee - creating G'



 M_{opt}
 M

Performance guarantee - creating G'



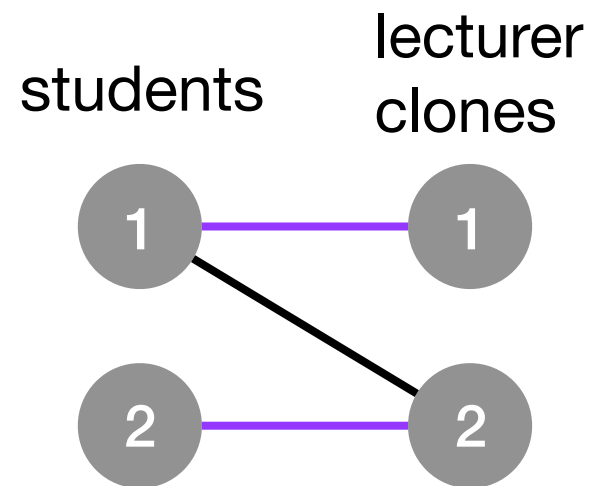
Structures in G'

Structures in G'

- odd length alternating path with end edges in M'_{opt}
(number of edges is 3)

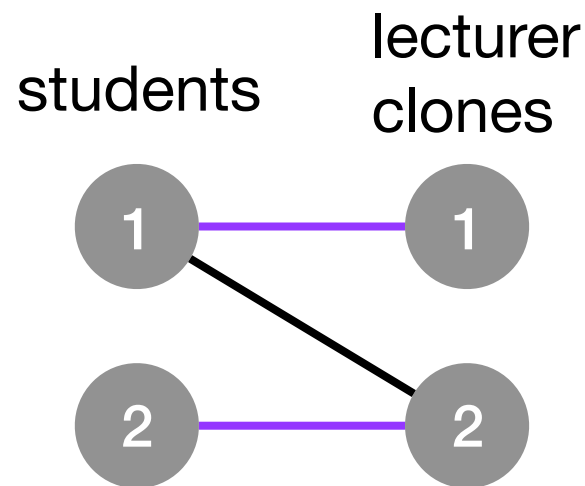
Structures in G'

- odd length alternating path with end edges in M'_{opt}
(number of edges is 3)



Structures in G'

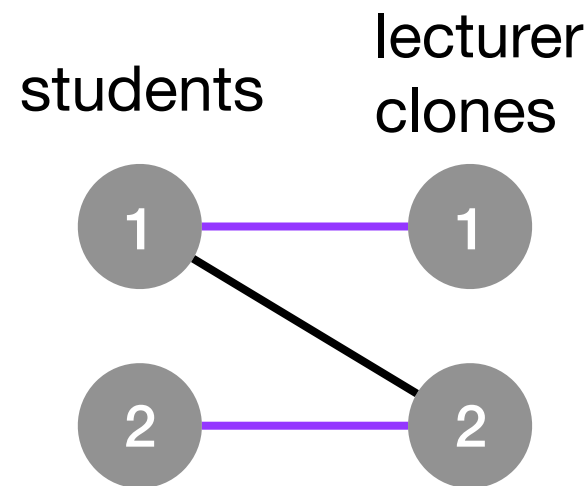
- odd length alternating path with end edges in M'_{opt}
(number of edges is 3)



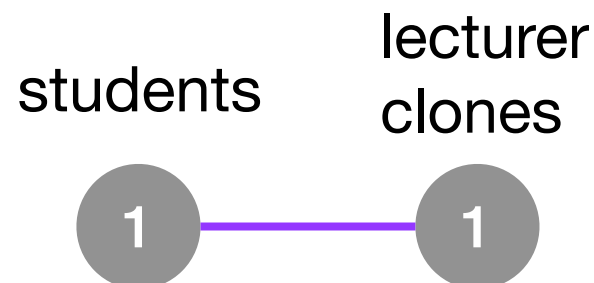
- odd length alternating path with end edges in M'_{opt}
(number of edges is 1)

Structures in G'

- odd length alternating path with end edges in M'_{opt} (number of edges is 3)

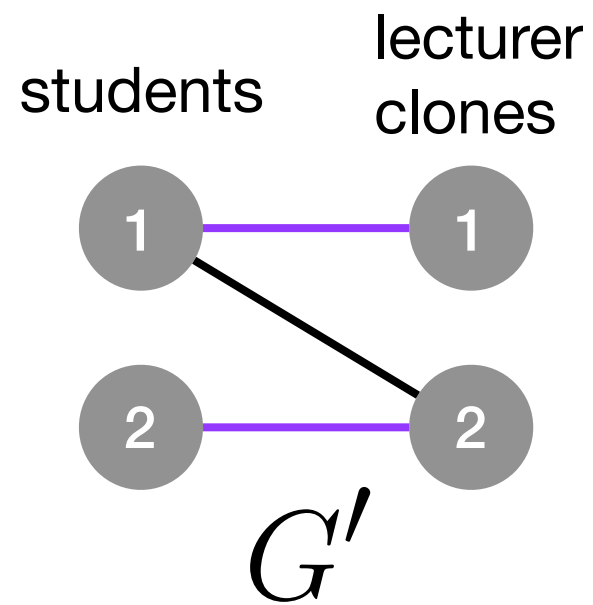


- odd length alternating path with end edges in M'_{opt} (number of edges is 1)

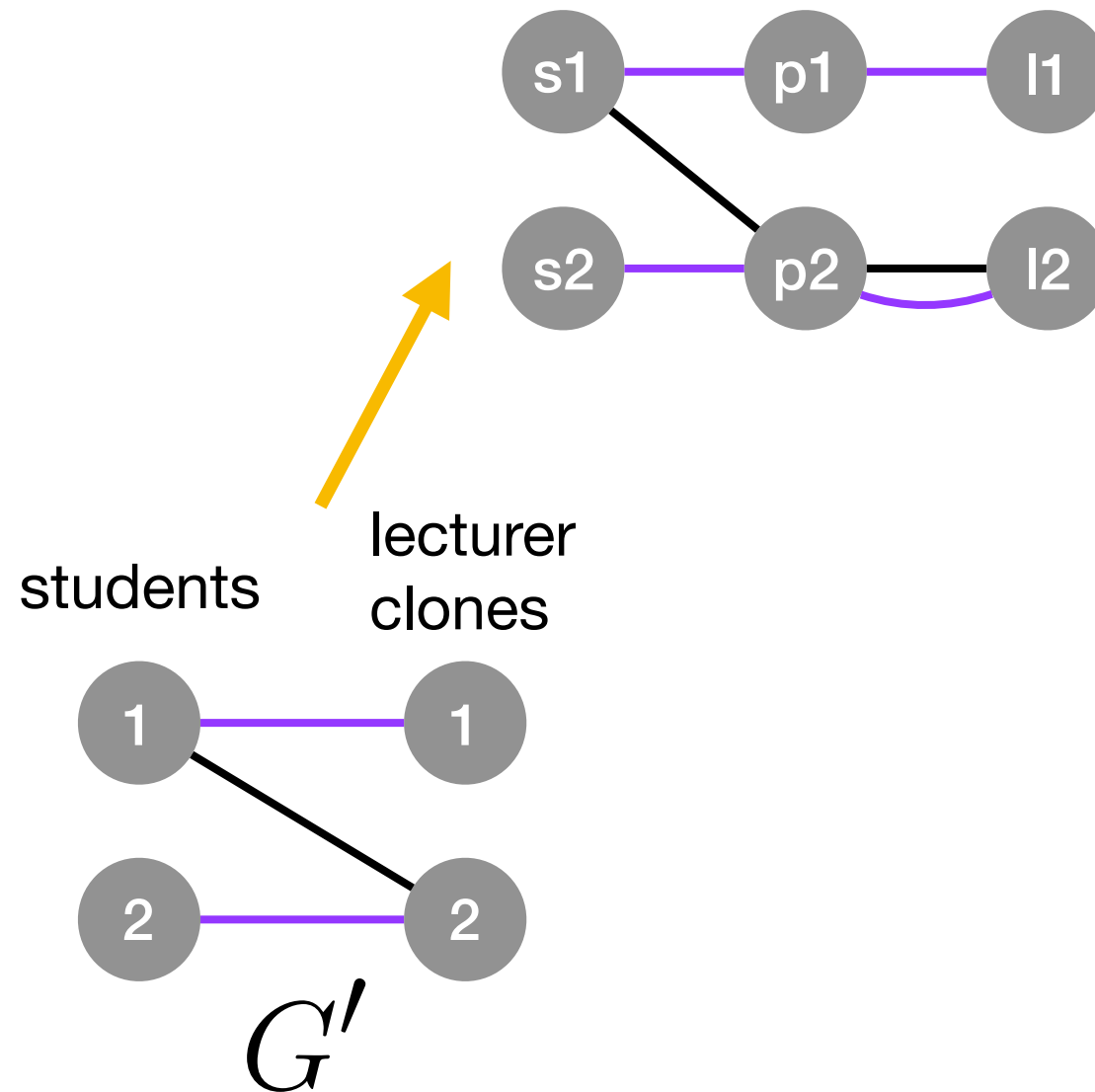


Structures in G

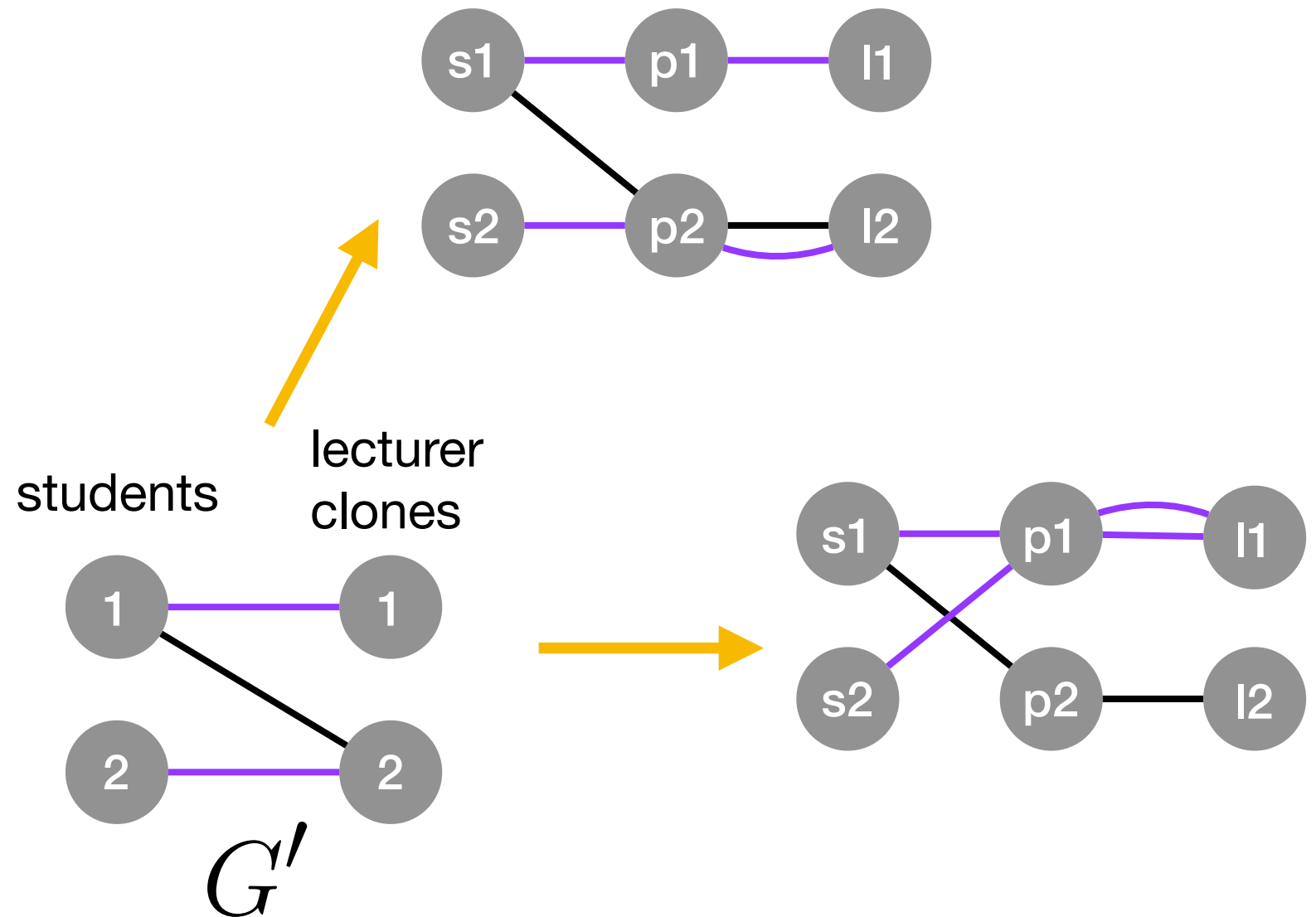
Structures in G



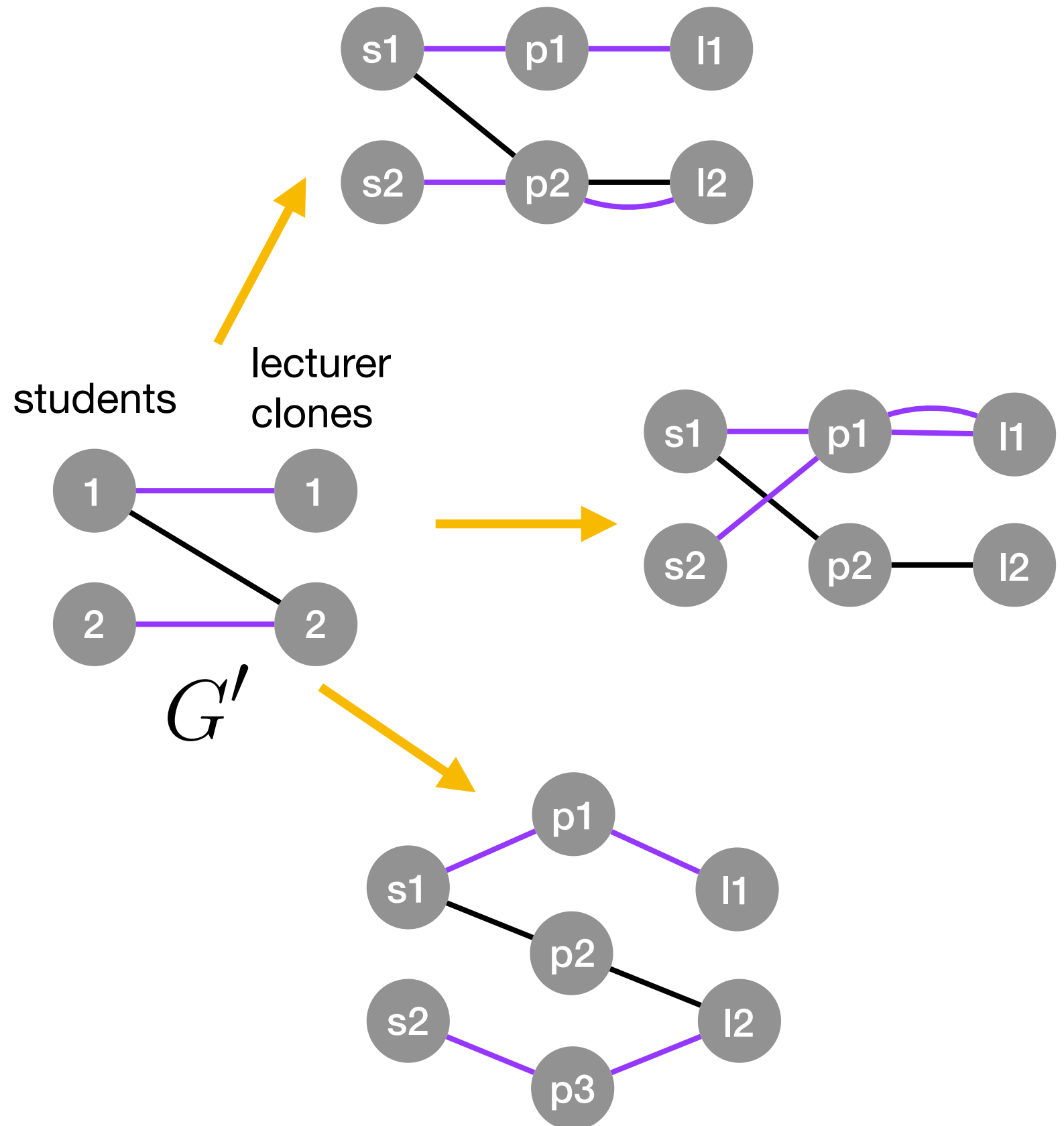
Structures in G



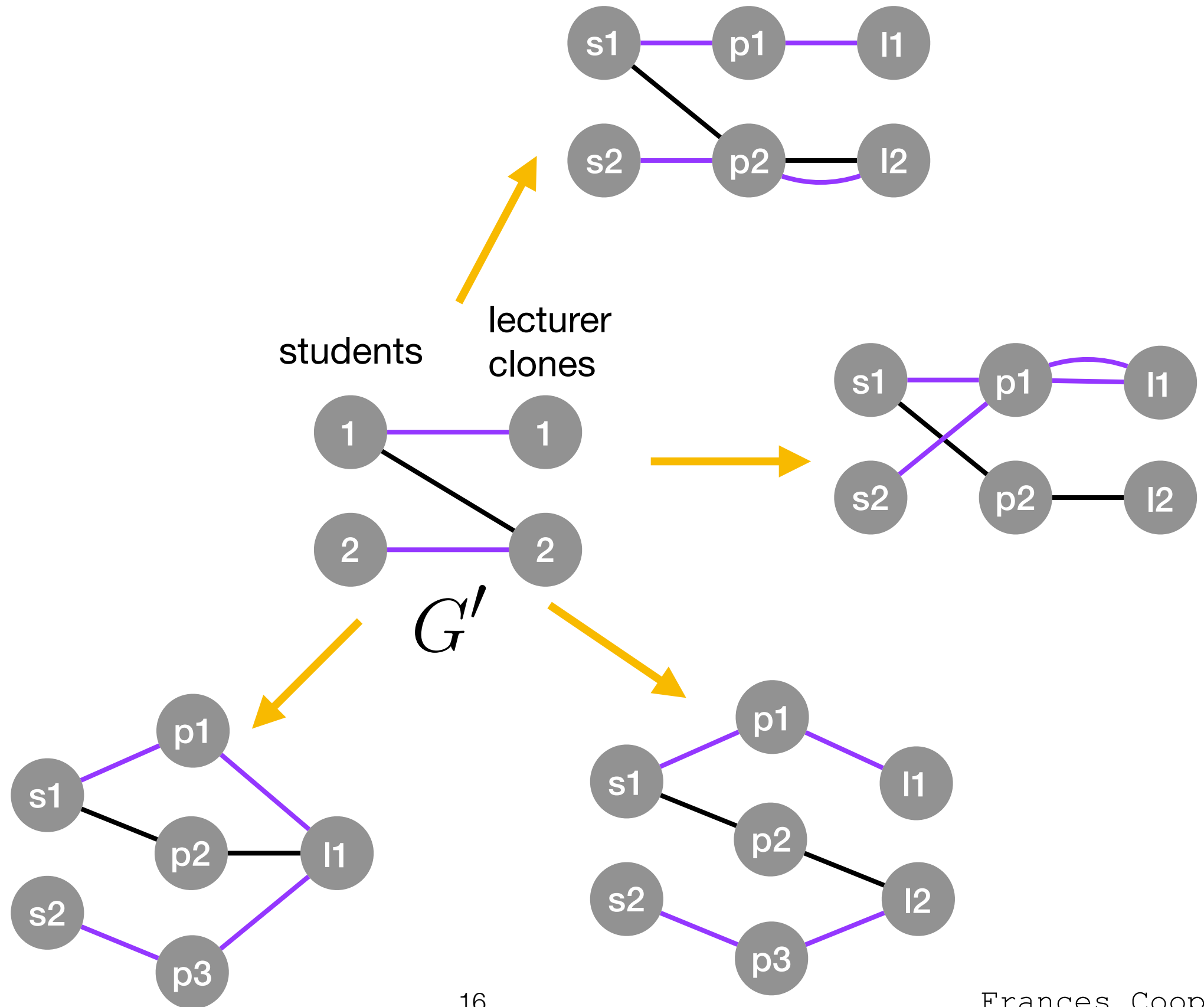
Structures in G



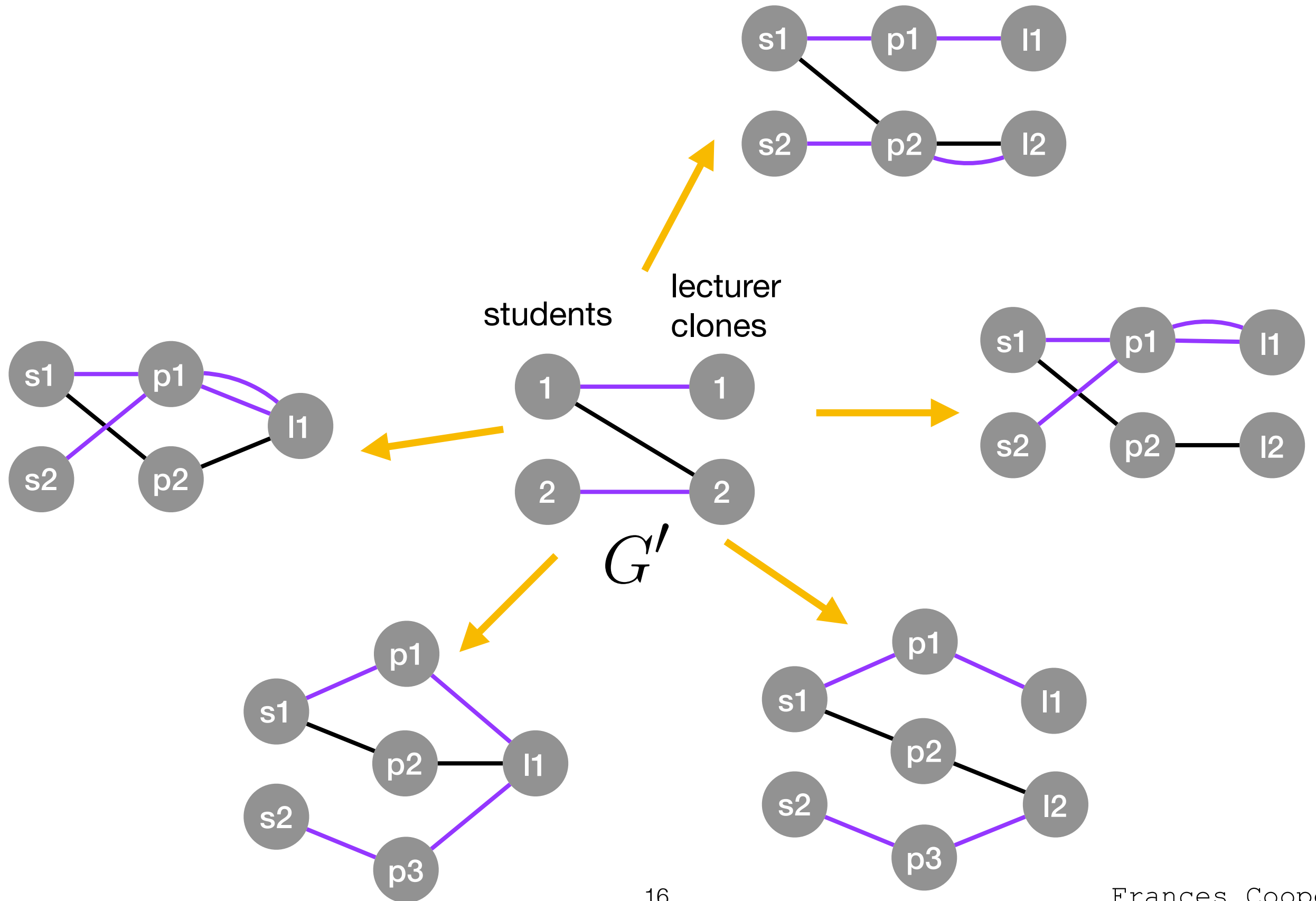
Structures in G



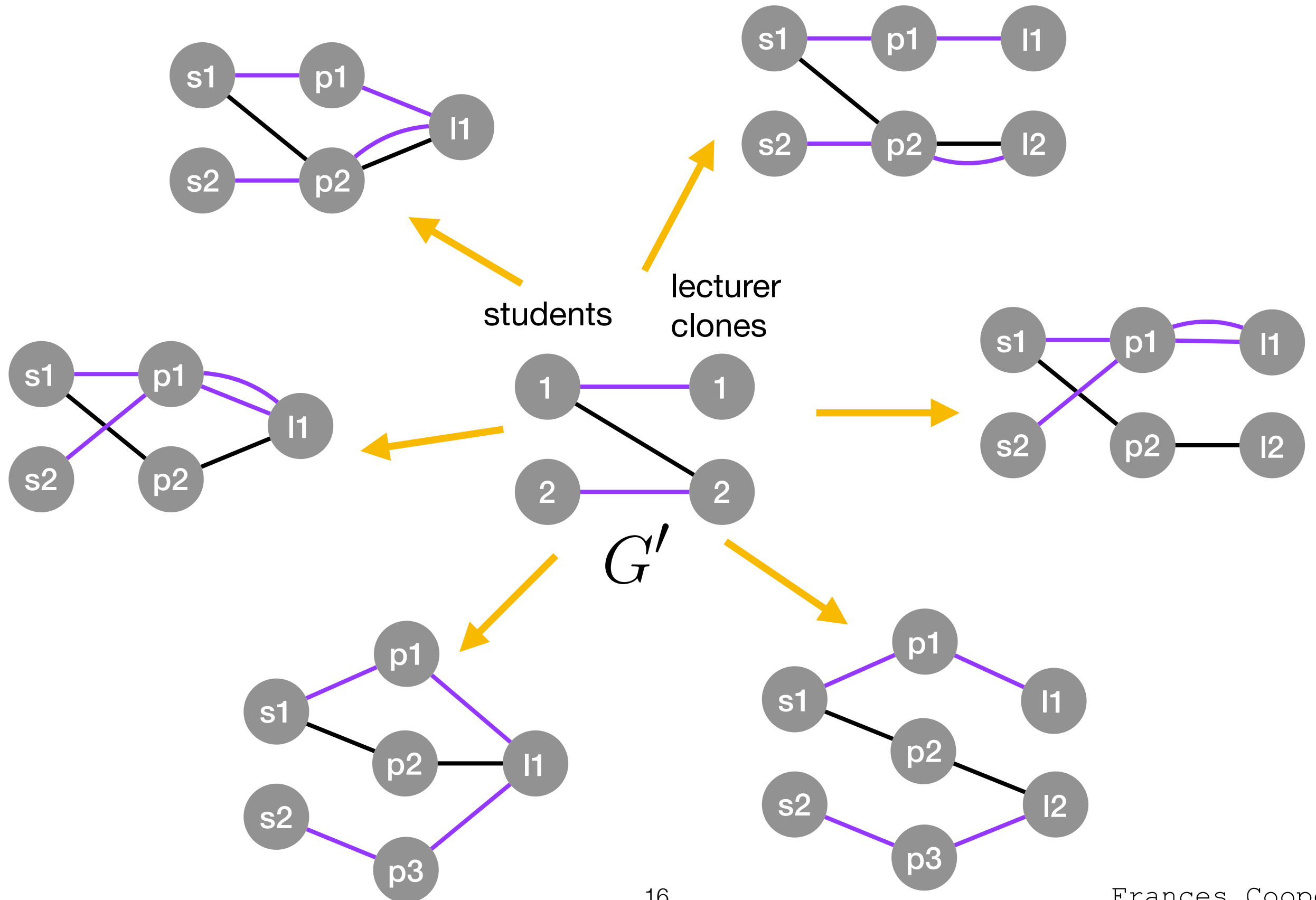
Structures in G



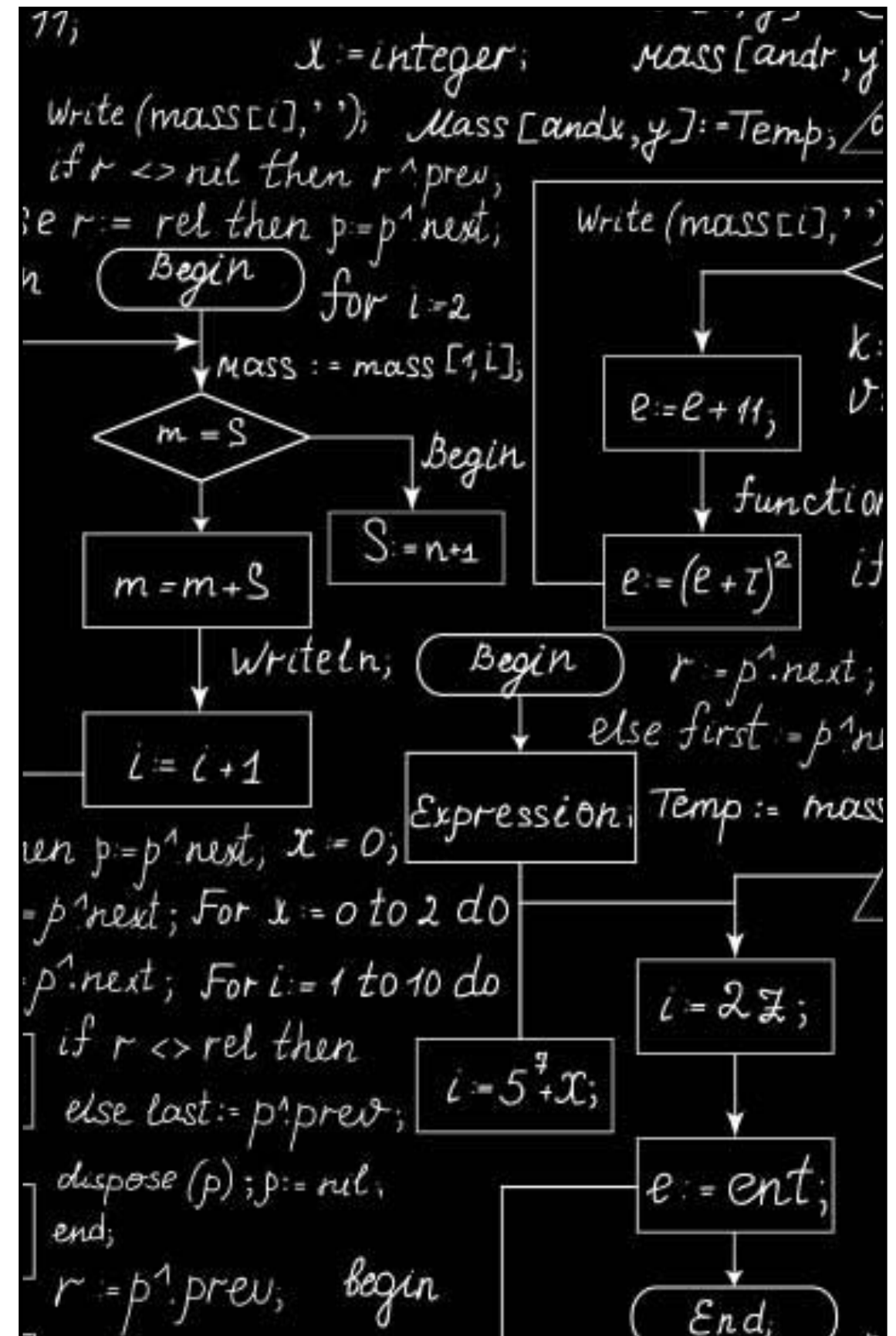
Structures in G



Structures in G



Integer Program



Integer Programming

Integer Programming

Integer Programming

- gives an optimal solution

Integer Programming

- gives an optimal solution
- novel work: stability constraints

Integer Programming

- gives an optimal solution
- novel work: stability constraints
- helped in correctness checking

Integer Programming

- gives an optimal solution
- novel work: stability constraints
- helped in correctness checking
- gives motivation for using approximation algorithm

Experimental results



Experimental Results

Experimental Results

- Java (and Gurobi), 100s of thousands of instances with varying parameters. Ran on approximation algorithm and integer program.

Experimental Results

- Java (and Gurobi), 100s of thousands of instances with varying parameters. Ran on approximation algorithm and integer program.
- Does the approximation algorithm stick to $2/3$ the size of optimal? Or do we get close to maximum?

Experimental Results

- Java (and Gurobi), 100s of thousands of instances with varying parameters. Ran on approximation algorithm and integer program.
- Does the approximation algorithm stick to $2/3$ the size of optimal? Or do we get close to maximum?

Case	minimum	average size	
	A/Max	A/Max	Min/Max
TIES1	1.0000	1.000	1.000
TIES2	0.9792	0.997	0.987
TIES3	0.9722	0.993	0.972
TIES4	0.9655	0.990	0.958
TIES5	0.9626	0.986	0.942
TIES6	0.9558	0.984	0.927
TIES7	0.9486	0.982	0.911
TIES8	0.9527	0.980	0.896
TIES9	0.9467	0.980	0.880
TIES10	0.9529	0.982	0.866
TIES11	0.9467	0.984	0.851

- TIES - 10,000 instances per set, 300 students, 250 projects (capacity 420), 120 lecturers (capacity 360), pref lists length 3 to 5.

Experimental Results

- Java (and Gurobi), 100s of thousands of instances with varying parameters. Ran on approximation algorithm and integer program.
- Does the approximation algorithm stick to $2/3$ the size of optimal? Or do we get close to maximum?

Case	minimum	average size	
	A/Max	A/Max	Min/Max
TIES1	1.0000	1.000	1.000
TIES2	0.9792	0.997	0.987
TIES3	0.9722	0.993	0.972
TIES4	0.9655	0.990	0.958
TIES5	0.9626	0.986	0.942
TIES6	0.9558	0.984	0.927
TIES7	0.9486	0.982	0.911
TIES8	0.9527	0.980	0.896
TIES9	0.9467	0.980	0.880
TIES10	0.9529	0.982	0.866
TIES11	0.9467	0.984	0.851

- TIES - 10,000 instances per set, 300 students, 250 projects (capacity 420), 120 lecturers (capacity 360), pref lists length 3 to 5.
- increasing prob of student and lecturer ties from 0 to 0.5 in 0.05 steps

Experimental Results

- Java (and Gurobi), 100s of thousands of instances with varying parameters. Ran on approximation algorithm and integer program.
- Does the approximation algorithm stick to $2/3$ the size of optimal? Or do we get close to maximum?

Case	minimum	average size	
	A/Max	A/Max	Min/Max
TIES1	1.0000	1.000	1.000
TIES2	0.9792	0.997	0.987
TIES3	0.9722	0.993	0.972
TIES4	0.9655	0.990	0.958
TIES5	0.9626	0.986	0.942
TIES6	0.9558	0.984	0.927
TIES7	0.9486	0.982	0.911
TIES8	0.9527	0.980	0.896
TIES9	0.9467	0.980	0.880
TIES10	0.9529	0.982	0.866
TIES11	0.9467	0.984	0.851

- TIES - 10,000 instances per set, 300 students, 250 projects (capacity 420), 120 lecturers (capacity 360), pref lists length 3 to 5.
- increasing prob of student and lecturer ties from 0 to 0.5 in 0.05 steps
- Average approx solution closer to optimal than minimum in all cases

Experimental Results

Experimental Results

Scalability

Case	instances completed		average total time (ms)	
	A	Max	A	Max
SCALS1	10	10	1393.8	227764.3
SCALS2	10	9	5356.7	1096045.6
SCALS3	10	0	13095.3	N/A
SCALS4	10	0	18883.5	N/A
SCALS5	10	0	20993.0	N/A
SCALP1	10	9	193.3	94242.9
SCALP2	10	10	189.4	631225.2
SCALP3	10	3	196.6	882251.0
SCALP4	10	1	248.5	1594201.0
SCALP5	10	0	283.7	N/A
SCALP6	10	0	288.4	N/A

Experimental Results

Scalability

- SCALS - 10,000 students up to 50,000 students. Pref lists 3 to 5 and ties 0.2

Case	instances completed		average total time (ms)	
	A	Max	A	Max
SCALS1	10	10	1393.8	227764.3
SCALS2	10	9	5356.7	1096045.6
SCALS3	10	0	13095.3	N/A
SCALS4	10	0	18883.5	N/A
SCALS5	10	0	20993.0	N/A
SCALP1	10	9	193.3	94242.9
SCALP2	10	10	189.4	631225.2
SCALP3	10	3	196.6	882251.0
SCALP4	10	1	248.5	1594201.0
SCALP5	10	0	283.7	N/A
SCALP6	10	0	288.4	N/A

Experimental Results

Scalability

- SCALS - 10,000 students up to 50,000 students. Pref lists 3 to 5 and ties 0.2
- SCALP - 500 students, ties 0.4, Pref lists increased from 25 to 150 in steps of 25.

Case	instances completed		average total time (ms)	
	A	Max	A	Max
SCALS1	10	10	1393.8	227764.3
SCALS2	10	9	5356.7	1096045.6
SCALS3	10	0	13095.3	N/A
SCALS4	10	0	18883.5	N/A
SCALS5	10	0	20993.0	N/A
SCALP1	10	9	193.3	94242.9
SCALP2	10	10	189.4	631225.2
SCALP3	10	3	196.6	882251.0
SCALP4	10	1	248.5	1594201.0
SCALP5	10	0	283.7	N/A
SCALP6	10	0	288.4	N/A

Experimental Results

Scalability

- SCALS - 10,000 students up to 50,000 students. Pref lists 3 to 5 and ties 0.2
- SCALP - 500 students, ties 0.4, Pref lists increased from 25 to 150 in steps of 25.
- much faster than using the integer program

Case	instances completed		average total time (ms)	
	A	Max	A	Max
SCALS1	10	10	1393.8	227764.3
SCALS2	10	9	5356.7	1096045.6
SCALS3	10	0	13095.3	N/A
SCALS4	10	0	18883.5	N/A
SCALS5	10	0	20993.0	N/A
SCALP1	10	9	193.3	94242.9
SCALP2	10	10	189.4	631225.2
SCALP3	10	3	196.6	882251.0
SCALP4	10	1	248.5	1594201.0
SCALP5	10	0	283.7	N/A
SCALP6	10	0	288.4	N/A

Experimental Results

Experimental Results

- So is it worth using?



Experimental Results

- So is it worth using?
- Coram - assigning adopted children to families. ~ 100's of agents. Preference lists long and probability of ties high



Experimental Results

- So is it worth using?



- Coram - assigning adopted children to families. ~ 100's of agents. Preference lists long and probability of ties high
- 21 instances, increasing difficulty. Initial IP could only solve first 6 within 5 minutes, approximation algorithm took less than 2 seconds for each

Future Work

Future Work

- Finding an approximation algorithm with a better performance guarantee than $3/2$

Future Work

- Finding an approximation algorithm with a better performance guarantee than $3/2$
- Finding a better inapproximability result than $33/29$

Approximation Algorithms for Stable
Matching Problems; PhD thesis; 2007; H.
Yanagisawa

Future Work

- Finding an approximation algorithm with a better performance guarantee than $3/2$
- Finding a better inapproximability result than $33/29$

- coalitions:

Approximation Algorithms for Stable Matching Problems; PhD thesis; 2007; H. Yanagisawa

Future Work

- Finding an approximation algorithm with a better performance guarantee than $3/2$
- Finding a better inapproximability result than $33/29$

- coalitions:

Approximation Algorithms for Stable
Matching Problems; PhD thesis; 2007; H.
Yanagisawa

- group of several students and lecturers

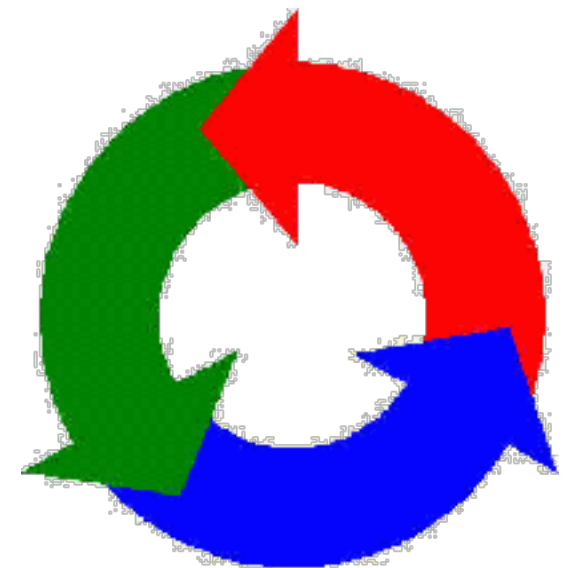
Future Work

- Finding an approximation algorithm with a better performance guarantee than $3/2$
- Finding a better inapproximability result than $33/29$

- coalitions:

Approximation Algorithms for Stable Matching Problems; PhD thesis; 2007; H. Yanagisawa

- group of several students and lecturers
- permute their assignments



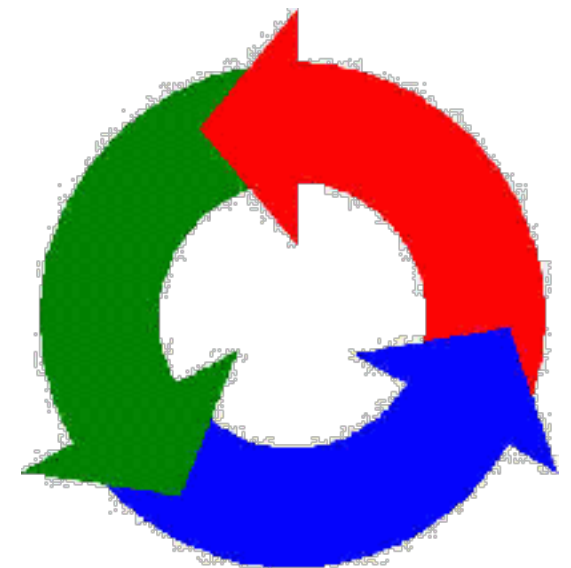
Future Work

- Finding an approximation algorithm with a better performance guarantee than $3/2$
- Finding a better inapproximability result than $33/29$

- coalitions:

Approximation Algorithms for Stable Matching Problems; PhD thesis; 2007; H. Yanagisawa

- group of several students and lecturers
- permute their assignments
- some or all get a better outcome



Thank you

Summary

- Student-project allocation problem
- Finding a maximum stable matching
 - Integer programming
 - Approximation algorithm
- Future work: improved performance guarantee; improved inapproximability result; coalitions



f.cooper.1@research.gla.ac.uk
<http://fmcooper.github.io>

